



# Orange County Mosquito and Vector Control District

## Serving Orange County Since 1947

**NOTICE AND AGENDA  
OF THE REGULAR MEETING OF THE BOARD OF TRUSTEES  
THURSDAY FEBRUARY 16, 2023  
912<sup>TH</sup> REGULAR MEETING 3:00 P.M.  
13001 GARDEN GROVE BLVD.  
GARDEN GROVE, CA 92843  
WEBSITE ADDRESS: [www.ocvector.org](http://www.ocvector.org)  
REGULAR MEETING 3:00 P.M.**

**A. PLEDGE OF ALLEGIANCE AND ROLL CALL**

1. Call business meeting to order 3:00 p.m.
2. Pledge of Allegiance
3. Roll Call - (If absences occur, consider whether to deem those absences excused based on facts presented for the absence — such determination shall be the permission required by law.)

PRESIDENT:	Peggy Huang	Yorba Linda
VICE-PRESIDENT:	Craig Green	Placentia
SECRETARY:	Cecilia Hupp	Brea

Aliso Viejo	Richard Hurt	Lake Forest	Vladimir Anderson
Anaheim	Lucille Kring	Los Alamitos	Tanya Doby
Brea	Cecilia Hupp	Mission Viejo	Bob Ruesch
Buena Park	Joyce Ahn	Newport Beach	Joy Brenner
Costa Mesa	William Turpit	Orange	Jon Dumitru
Cypress	Bonnie Peat	Placentia	Craig Green
Dana Point	Mike Frost	Rancho Santa Margarita	April Josephson
Fountain Valley	Kim Constantine	San Clemente	Steve Knoblock
Fullerton	Fred Jung	San Juan Capistrano	John Taylor
Garden Grove	Stephanie Klopfenstein	Santa Ana	Nelida Mendoza
Huntington Beach	Mike Posey	Seal Beach	Sandra Massa-Lavitt
Irvine	Tammy Kim	Stanton	Gary Taylor
La Habra	James Gomez	Tustin	Rebecca Gomez
La Palma	Debbie S. Baker	Villa Park	Crystal Miles
Laguna Beach	Alex Rounaghi	Westminster	Amy Phan West
Laguna Hills	Erica Pezold	Yorba Linda	Peggy Huang
Laguna Niguel	Rischi Paul Sharma	County of Orange	Elizabeth Guillen-Merchant
Laguna Woods	Shari Horne		

## **B. PUBLIC COMMENTS**

### **(Individual Public Comments may be limited to a 3-minute or less time limit)**

During Public Comments, the public may address the Board on any issue within the District's jurisdiction which is not on the agenda. The public may comment on any item on the Agenda at the time that item is before the Board for consideration. Any person wishing to speak must come up and speak from the lectern. There will be no dialog between the Board and the commenter. Any clarifying questions from the Board must go through the Board President.

## **C. PRESENTATIONS**

1. Recognize Outgoing District Manager Rick Howard
2. Recognize Outgoing Trustees Viczorek, Massa-Lavitt, and Nguyen
3. Recognize Trustee Years of Service: Trustee Kring 15 Years, Trustee Ruesch 5 Years
4. District Staff will give a presentation entitled "75<sup>th</sup> Anniversary Year in Review"
5. District Counsel Burns will give a presentation entitled "Trustee Roles and Responsibilities"

## **D. OCMVCD COMMITTEE REPORTS TO THE BOARD OF TRUSTEES None**

## **E. CONSENT CALENDAR**

All matters listed under the CONSENT CALENDAR are considered by the District to be routine and will be enacted by one motion. Any member of the Board may pull an item from the Consent Calendar for additional clarification or action.

1. Approve the DRAFT Minutes for the special meeting on January 12, 2023 and the regular meeting on January 19, 2023
2. Approve Warrant Register for December 2022 (Exhibit A)
3. Approve Monthly Financial Report for December 2022 (Exhibit A)
4. Approve Board of Trustee Committee Assignments for 2023 (Exhibit A)

## **F. BUSINESS ITEMS**

1. Adopt Resolution No. 553 Appointing District Manager Lora Young as District Treasurer (Exhibit A)
2. Adopt Memorandum of Understanding with the Orange County Mosquito and Vector Control District Employee Association and Adopt Resolution No. 554 Amending Personnel and Salary Resolution No. 482 to Modify Language and Certain Employee Benefits (Exhibit A, B, C)

## **G. INFORMATIONAL ITEMS ONLY (NO ACTION NECESSARY)**

1. Staff Presentation: Staff will give an update on vector activity in Orange County
2. Staff Presentation: Staff will give an update on outreach activity in Orange County
3. Staff Presentation: Staff will give an update on operations activity in Orange County
4. Receive and File Trustee Terms of Office to Expire on January 2, 2023 at 11:59 AM
5. Receive and File Fiscal Year 2023-24 Budget Preparation Schedule
6. Receive and File Statement of Economic Interests 700 Forms for Filing
7. Receive and File Rescinding Orange County Mosquito and Vector Control District State of Emergency Declaration (Exhibit A)
8. Receive and File Laboratory Reports – Included in agenda packet

## **H. PRESIDENT'S REPORT AND TRUSTEE COMMENTS**

## **I. DISTRICT MANAGER'S REPORT – Discussion and Possible Action**

## **J. DISTRICT LEGAL COUNSEL REPORT – Discussion and Possible Action**

## **K. CORRESPONDENCE – Discussion and Possible Action**

## **L. FUTURE AGENDA ITEMS**

**M. ADJOURNMENT**

1. Adjourn to the next regular meeting on March 16, 2023 starting at 3:00 p.m. at the Orange County Mosquito and Vector Control District offices, 13001 Garden Grove Blvd., Garden Grove, CA 92843

**MINUTES OF THE SPECIAL MEETING**

**BOARD OF TRUSTEES  
Orange County Mosquito and Vector Control District**

TIME: 3:00 P.M. January 12, 2023

PLACE: 13001 Garden Grove Blvd., Garden Grove, CA 92843  
MEETING TOOK PLACE BY TELECONFERENCE

PRESIDENT:	James Gomez	La Habra
VICE PRESIDENT:	Peggy Huang	Yorba Linda
SECRETARY:	Craig Green	Placentia

**TRUSTEES PRESENT:**

Aliso Viejo	Richard Hurt	Lake Forest	Vladimir Anderson
Anaheim	Lucille Kring	Los Alamitos	Tanya Doby
Brea	Cecilia Hupp	Mission Viejo	Bob Ruesch
Buena Park	Joyce Ahn	Newport Beach	Joy Brenner
Costa Mesa	Bill Turpit	Orange	Jon Dumitru
Cypress	Bonnie Peat	Placentia	Craig Green
Dana Point	Rick Viczorek	San Clemente	Steve Knoblock
Fountain Valley	Kim Constantine	San Juan Capistrano	John Taylor
Fullerton	Fred Jung	Santa Ana	Nelida Mendoza
Garden Grove	Stephanie Klopfenstein	Stanton	Gary Taylor
Huntington Beach	Mike Posey	Tustin	Rebecca Gomez
Irvine	Tammy Kim	Villa Park	Crystal Miles
La Palma	Debbie S. Baker	Yorba Linda	Peggy Huang
Laguna Beach	Alex Rounaghi	County of Orange	Elizabeth Guillen-
Laguna Woods	Shari Horne		Merchant

**TRUSTEES ABSENT:**

La Habra	James Gomez	Rancho Santa Margarita	April Josephson
Laguna Hills	Erica Pezold	Seal Beach	Sandra Massa-Lavitt
Laguna Niguel	Rischi Paul Sharma	Westminster	Chi Charlie Nguyen

Trustee J. Gomez had an excused absence.

**OTHERS PRESENT:**

Rick Howard, District Manager  
Lora Young, District Manager  
Tawnia Pett, Executive Assistant/Clerk of the Board  
Alan Burns, District Counsel

**A. Opening:**

1. **Call the Business Meeting to Order:** Vice President Huang called the meeting to order at 3:00 P.M.
2. **Pledge of Allegiance:** Vice President Huang asked Trustee Kring to lead the Pledge of Allegiance.
3. **Roll Call:** Roll call indicated 29 Trustees were present out of the current Board membership of 35.
4. **Late/Other Communications: None**  
**Late Communications:**  
  
**Other Communications:**

**B. Public Comments: None**

- C. 1. **Adopted Resolution No. 551 Authorizing Remote Teleconference Meetings of the Legislative Bodies of the Orange County Mosquito and Vector Control District for the Period of January 14, 2023, through February 12, 2023, Pursuant to Brown Act Provisions: (Exhibit A, B)**

On motion from Trustee Posey, seconded by Trustee Kring and approved by majority vote, the Board of Trustees adopted Resolution No. 551 proclaiming a local emergency, ratifying the proclamation of a state of emergency by Governors' Order No. 25-20, and authorized remote teleconference meetings of the legislative bodies of the Orange County Mosquito and Vector Control District for the period of January 14, 2023, through February 12, 2023, pursuant to Brown Act Provisions.

Ayes: Trustees Hurt, Kring, Hupp, Ahn, Turpit, Peat, Viczorek, Constantine, Klopfenstein, Posey, Kim, Baker, Rounaghi, Horne, Anderson, Doby, Ruesch, Brenner, Dumitru, Green, Knoblock, J. Taylor, Mendoza, G. Taylor, R. Gomez, Huang, and Guillen-Merchant.

Noes: Trustee Miles.

Abstained: None.

Absent: Trustees Jung, J. Gomez, Pezold, Sharma, Josephson, Massa-Lavitt, and Nguyen.

**D. Adjournment:**

1. Vice President Huang adjourned the meeting at 3:11 p.m. to the next regularly scheduled meeting on Thursday, January 19, 2023.

I certify that the above minutes substantially reflect the actions taken by the Board of Trustees at its meeting held January 12, 2023.

*Lora B. Young*  
\_\_\_\_\_  
Lora B. Young, District Manager

Approved as written and/or corrected by the Board of Trustees at its \_\_\_\_\_  
\_\_\_\_\_ meeting held \_\_\_\_\_

ATTEST: \_\_\_\_\_  
Cecilia Hupp, Secretary

**MINUTES OF THE 911<sup>th</sup> MEETING**

**BOARD OF TRUSTEES  
Orange County Mosquito and Vector Control District**

TIME: 3:00 P.M. January 19, 2023

PLACE: 13001 Garden Grove Blvd., Garden Grove, CA 92843  
MEETING WAS A TELECONFERENCE MEETING

PRESIDENT:	Peggy Huang	Yorba Linda
VICE PRESIDENT:	Craig Green	Placentia
SECRETARY:	Cecilia Hupp	Brea

**TRUSTEES PRESENT:**

Aliso Viejo	Richard Hurt	Laguna Niguel	Rischi Paul Sharma
Anaheim	Lucille Kring	Laguna Woods	Shari Horne
Brea	Cecilia Hupp	Lake Forest	Vladimir Anderson
Buena Park	Joyce Ahn	Mission Viejo	Bob Ruesch
Costa Mesa	Bill Turpit	Newport Beach	Joy Brenner
Cypress	Bonnie Peat	Orange	Jon Dumitru
Dana Point	Mike Frost	Placentia	Craig Green
Fountain Valley	Kim Constantine	Rancho Santa Margarita	April Josephson
Fullerton	Fred Jung	San Clemente	Steve Knoblock
Garden Grove	Stephanie Klopfenstein	San Juan Capistrano	John Taylor
Huntington Beach	Mike Posey	Seal Beach	Sandra Massa-Lavitt
Irvine	Tammy Kim	Stanton	Gary Taylor
La Habra	James Gomez	Villa Park	Crystal Miles
La Palma	Debbie S. Baker	Westminster	Chi Charlie Nguyen
Laguna Beach	Alex Rounaghi	Yorba Linda	Peggy Huang
Laguna Hills	Erica Pezold	County of Orange	Elizabeth Guillen-Merchant

**TRUSTEES ABSENT:**

Los Alamitos	Tanya Doby	Tustin	Rebecca Gomez
Santa Ana	Nelida Mendoza		

Trustees Doby and R. Gomez had an excused absence.

**OTHERS PRESENT:**

Rick Howard, District Manager  
Lora Young, District Manager  
Amber Semrow, Director of Scientific Technical Services  
Steve Shepherd, Director of Operations  
Tawnia Pett, Executive Assistant/Clerk of the Board  
Alan Burns, District Counsel

**A. Opening:**

1. **Call the Business Meeting to Order:** President J. Gomez called the meeting to order at 3:00 P.M.
2. **Pledge of Allegiance:** President J. Gomez asked Vice President Huang to lead the Pledge of Allegiance.
3. **Roll Call:** Roll call indicated 32 Trustees were present out of the current Board membership of 35.

**After Roll Call President J. Gomez introduced the new Trustees: Joyce Ahn from Buena Park, Bonnie Peat from Cypress, Mike Frost from Dana Point, Alex Rounaghi from Laguna Beach.**

4. **Election of Officers of the 2023 Executive Board:** President J. Gomez nominated Vice President Huang for President, Secretary Green for Vice President, and to close nominations for those offices. Trustee Kring seconded the motion.

Ayes: Trustees Hurt, Kring, Hupp, Ahn, Turpit, Peat, Frost, Constantine, Jung, Klopfenstein, Kim, J. Gomez, Baker, Rounaghi, Pezold, Sharma, Horne, Anderson, Ruesch, Brenner, Dumitru, Green, Josephson, Knoblock, J. Taylor, Massa-Lavitt, G. Taylor, Miles, Nguyen, Huang, and Guillen-Merchant.

Noes: None.

Abstained: None.

Absent: Trustees Posey, Doby, Mendoza, and R. Gomez.

The Board of Trustees elected Peggy Huang as President and Craig Green as Vice President.

Since there were two candidates for Secretary, a separate vote was needed for that office. Past President J. Gomez nominated Trustee Hupp as Secretary. Trustee Pezold nominated Trustee Miles as Secretary. Trustee Sharma made a motion, seconded by Trustee Kim, to have the candidates state their qualifications for the position, (the motion was changed to a request, so no vote was taken for this item). Both nominees gave speeches on why they were qualified for the role as Secretary. The motion was made to close nominations.

Vote to close nominations:

Ayes: Trustees Hurt, Kring, Hupp, Ahn, Turpit, Peat, Frost, Constantine, Jung, Klopfenstein, Posey, Kim, J. Gomez, Rounaghi, Pezold, Sharma, Horne, Anderson, Ruesch, Brenner, Dumitru, Green, Josephson, Knoblock, J. Taylor, Massa-Lavitt, G. Taylor, Miles, Nguyen, Huang, and Guillen-Merchant.

Noes: None.

Abstained: None.

Absent: Trustees Baker, Doby, Mendoza, and R. Gomez.

**Vote for Secretary:**

Trustee Hupp: Trustees Hupp, Ahn, Turpit, Frost, Constantine, Jung, Posey, Kim, J. Gomez, Horne, Brenner, Green, Josephson, J. Taylor, G. Taylor, and Huang.

Trustee Miles: Trustees Hurt, Kring, Peat, Klopfenstein, Rounaghi, Pezold, Sharma, Anderson, Ruesch, Dumitru, Massa-Lavitt, and Miles.

Abstained: Trustees Nguyen and Guillen-Merchant.

Absent: Trustees Baker, Doby, Knoblock, Mendoza, and R. Gomez.

By a vote of 16 to 12, the Board of Trustees elected Cecilia Hupp as Secretary.

**5. Late/Other Communications: None****Late Communications:****Other Communications:****B. Public Comments: None****C. Presentations:**

1. Recognized Outgoing President J. Gomez: Staff created a video for outgoing President J. Gomez.
2. Recognized Outgoing Trustees Sonne, Minikus, and Kempf: Staff created a video for outgoing Trustees Sonne, Minikus, and Kempf.
3. District Staff gave a presentation entitled "Laboratory Surveillance Programs 2022 In Review"

**D. Adjourn to Closed Session:**

At 3:58 P.M. President Huang called the meeting into closed session.

Conference with Labor Negotiators

Agency Representative: District Manager Rick Howard

Employee Organization: Orange County Mosquito and Vector Control District Employee Association

**E. Reconvene to Open Session: Report of Any Required Disclosures of Action in Closed Session:**

The meeting was reconvened to open session at 4:51 P.M. District Counsel Burns reported that the board discussed labor negotiations with the Orange County Mosquito and Vector Control District employee Association. Authority was given to Agency Representative Rick Howard to reach an agreement. The vote on the Agreement will be reported after a final agreement is reached, in accordance with Government Code Section 54957.1.

**F. OCMVCD Committee Reports to the Board of Trustees:**

1. Joint Committee Meeting: Budget & Finance Committee and Building, Property, & Equipment Committee: Budget and Finance Committee Chair Jung reported that the committee met on January 10<sup>th</sup> and was provided a presentation by Kosmont regarding the District's efforts to identify a long-term solution for facilities. The presentation was for informational purposes only and no action was taken. District staff will provide additional information to the full Board at the March Board meeting.
2. Policy and Personnel Committee Meeting: Committee Chair Kim reported that the committee met on January 11<sup>th</sup> in closed session to discuss employee negotiations between the District and members of the Orange County Mosquito and Vector Control District Employee Association. The committee provided direction to the District Managers.

**G. Consent Calendar: Items for Approval by General Consent:**

On motion from Trustee Kring, seconded by Secretary Hupp, and approved by unanimous vote, the Board of Trustees approved Consent Calendar Items G.2 through G.4. Agenda Item G.1 was passed by majority vote due to absences at the previous Board Meeting (Abstained: Trustees Hurt, Ahn, Peat, Frost, Rounaghi, and Brenner).

Ayes: Trustees Hurt, Kring, Hupp, Ahn, Turpit, Peat, Frost, Constantine, Jung, Kim, Rounaghi, Pezold, Sharma, Anderson, Ruesch, Brenner, Dumitru, Green, Josephson, Massa-Lavitt, G. Taylor, Miles, and Huang.

Noes: None.

Abstained: None.

Absent: Trustees Klopfenstein, Posey, J. Gomez, Baker, Horne, Doby, Knoblock, J. Taylor, Mendoza, R. Gomez, Nguyen, and Guillen-Merchant.

1. **Approval of Minutes:** Approved, without reading, the minutes of the 910<sup>th</sup> Meeting of the Board of Trustees held December 15, 2022.
2. **Approved Warrant Register for November 2022: (Exhibit A)** Received and filed.
3. **Approved Monthly Financial Report for November 2022: (Exhibit A)** Received and filed.
4. **Adopted Resolution No. 552 Authorizing the Deployment of Vector Personnel and Resources for Natural Disaster Relief Works: (Exhibit A)**

**H. Business Items: None****I. Informational Items Only (No Action Necessary):**

1. **Staff Presentation:** Staff will provide a written report.
2. **Staff Presentation:** Staff will provide a written report.
3. **Staff Presentation:** Staff will provide a written report.
4. **Received and Filed Trustee Terms of Office to Expire on January 2, 2023 at 11:59 A.M.**
5. **Received and Filed Board of Committee Assignments for 2023**
6. **Received and Filed 2022 Trustee Attendance Report**
7. **Received and Filed Laboratory Reports – Included in agenda packet**

**J. President's Report and Trustee Comments: None****K. District Manager's Report:** District Manager Howard reported:

1. The District had a Employee Appreciation Breakfast on January 10, 2023.

District Manager Young said she would turn in a written report.

**L. District Counsel Report: None****M. Correspondence:**

1. Staff conference reports from MVCAC Manager's Meeting, December 6-7, 2022.

N. **Future Agenda Items: None**

O. **Adjournment:**

1. President Huang adjourned the meeting at 5:01 P.M. to a regular meeting on Thursday, February 16, 2023.

I certify that the above minutes substantially reflect the actions taken by the Board of Trustees at its meeting held January 19, 2023.

*Lora B. Young*  
\_\_\_\_\_  
Lora B. Young, District Manager

Approved as written and/or corrected by the Board of Trustees at its \_\_\_\_\_  
\_\_\_\_\_ meeting held \_\_\_\_\_

ATTEST: \_\_\_\_\_  
Cecilia Hupp, Secretary



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

February 16, 2023

## AGENDA REPORT

### AGENDA ITEM E.2

**Prepared By:** Tan Nguyen, Finance Manager  
**Submitted By:** Lora Young, District Manager

#### Agenda Title:

Approve Warrant Register for December 2022

#### Recommended Action:

Receive and file.

#### Executive Summary:

Receive and file payment of December warrant register dated February 16, 2023 in the amount of \$474,365.33 as presented by in-house check runs dated December 2, 8, 12, 15, 19, 21, 22, 23, and 29, 2022.

#### Strategic Plan Compliance:

Complies with PRIORITY AREA 11: Fiscal Responsibility/Financial, GOAL 11.1: Conduct the Business and Operational Functions of the District in the most cost efficient and effective manner that prioritizes both fiscal and fiduciary responsibility to the residents of Orange County by ensuring a robust system of financial checks and balances are in place.

#### Fiscal Impact:

**Amount Requested \$ N/A**

**Sufficient Budgeted Funds Available:**

**Category: Pers. Optg. Cap. -or- CIP# Fund#**

#### Previous Relevant Board Actions for This Item:

#### Exhibits:

**Exhibit A:** December 2022 Warrant Report

# ORANGE COUNTY MOSQUITO & VECTOR CONTROL DISTRICT

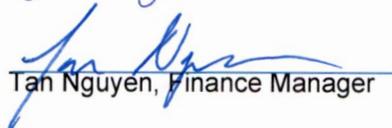
REGISTER OF DEMANDS February 16, 2023

## ACCOUNTS PAYABLE REGISTER

<b>ORANGE COUNTY MOSQUITO &amp; VECTOR CONTROL DISTRICT</b>		
REGISTER OF DEMANDS - AP REGISTER 02/16/2023		
AP/Check run	12/02/2022	110,182.62
AP/Check run	12/08/2022	42,260.96
AP/Check run	12/15/2022	13,435.96
AP/Check run	12/21/2022	1,928.73
AP/Check run	12/22/2022	63,879.02
AP/Check run	12/29/2022	7,139.52
P/R EEASSOC	12/12/2022	795.00
P/R ICMA	12/12/2022	22,776.86
P/R USB	12/12/2022	178.66
P/R CalPERS1	12/19/2022	38,123.94
P/R EDD	12/19/2022	12,436.75
P/R IRS	12/19/2022	41,020.52
P/R NATION	12/19/2022	4,705.00
P/R TASC	12/19/2022	2,013.20
P/R CalPERS1	12/23/2022	38,067.28
P/R EDD	12/23/2022	9,991.69
P/R EEASSOC	12/23/2022	825.00
P/R ICMA	12/23/2022	22,776.86
P/R IRS	12/23/2022	34,923.56
P/R NATION	12/23/2022	4,705.00
P/R TASC	12/23/2022	2,013.20
P/R USB	12/23/2022	186.00
<b>Total</b>		<b>474,365.33</b>

I hereby certify that the claims or demands covered by the foregoing listed warrants have been audited as to the accuracy and availability of funds for payment thereof. Subscribed and sworn on this 16<sup>th</sup> day of FEBRUARY 2023.

  
 Lora Young, District Manager

  
 Tan Nguyen, Finance Manager

# Accounts Payable

## Checks by Date - Summary by Check Date

User: dmedina@ocvector.org  
 Printed: 1/9/2023 2:15 PM



Check No	Vendor No	Vendor Name	Check Date	Check Amount
ACH	MILLER K	Kara A. Miller	12/02/2022	429.54
ACH	SHEPHERD	STEVE SHEPHERD	12/02/2022	70.39
ACH	CalPERS	Calif. Public Employees' Retirement	12/02/2022	76,879.67
27143	ASABE	American Society of Agriculture & Biologic	12/02/2022	15.00
27144	AT T FAX	AT&T	12/02/2022	325.12
27145	AUTO Z	Auto Zone, Inc.	12/02/2022	5,929.05
27146	CROWN	Crown Maintenance	12/02/2022	230.00
27147	ESA	Entomological Society of America	12/02/2022	161.00
27148	FISHER	Fisher Scientific	12/02/2022	193.95
27149	GENESEE	Genesee Scientific Corporation	12/02/2022	224.90
27150	GG WATER	City of Garden Grove	12/02/2022	926.04
27151	GGALARM	City of Garden Grove	12/02/2022	25.00
27152	LIFE	Life Technologies	12/02/2022	1,414.87
27153	QIAGEN	QIAGEN INC.	12/02/2022	1,002.03
27154	SCE	Southern Calif. Edison	12/02/2022	5,617.23
27155	VSP	Vision Service Plan	12/02/2022	921.33
27156	Guard	Guardian - Appleton	12/02/2022	12,737.50
27157	AFLAC	American Family Life Assurance Co., of C	12/02/2022	3,080.00
Total for 12/2/2022:				110,182.62
ACH	ADAPCO	ADAPCO, INC.	12/08/2022	4,741.00
ACH	GENEWIZ	Azenta US, Inc.	12/08/2022	25.00
ACH	GG DISP	Republic Waste Svcs of So. Calif., LLC	12/08/2022	1,860.57
ACH	LINDE	LINDE GAS & EQUIPMENT INC.	12/08/2022	1,630.73
ACH	SPECTRUM	Charter Communications	12/08/2022	829.43
ACH	Steri	STERICYCLE	12/08/2022	1,050.71
ACH	ULINE	Uline Shipping Supply Specialists	12/08/2022	1,074.48
ACH	VERIZON	Verizon Wireless Services LLC	12/08/2022	5,477.49
27158	ATT2	AT&T	12/08/2022	257.28
27159	BLACKHL	BLACKHOLE TECHNOLOGIES INC	12/08/2022	160.00
27160	CASH	CASH	12/08/2022	450.24
27161	GFS	Governmental Financial Services	12/08/2022	700.00
27162	HARBORPA	HARBOR PAINT & BODY	12/08/2022	2,097.86
27163	HARPER	Harper & Burns, LLP	12/08/2022	2,840.80
27164	INSIGHT	Insight Public Sector, Inc.	12/08/2022	7,136.04
27165	LIEBERT	Liebert Cassidy Whitmore	12/08/2022	3,494.50
27166	LIFE	Life Technologies	12/08/2022	5,220.57
27167	QDOXS	Q Document Solutions, Inc.	12/08/2022	138.31
27168	SMART	Smart & Final Stores Corp	12/08/2022	1,212.36
27169	SOVE	Society for Vector Ecology	12/08/2022	500.00
27170	STAPLES	Staples Business Advantage	12/08/2022	23.47
27171	WESTCOAS	RJ International	12/08/2022	1,340.12
Total for 12/8/2022:				42,260.96
ACH	EEASSOC	OCVCD Employee Association	12/12/2022	795.00

Check No	Vendor No	Vendor Name	Check Date	Check Amount
ACH	ICMA	MissionSquare Retirement (ICMA)	12/12/2022	22,776.86
ACH	USB	PARS/U.S. Bank N.A. Minnesota	12/12/2022	178.66
Total for 12/12/2022:				23,750.52
ACH	MILLER K	Kara A. Miller	12/15/2022	2,236.00
27172	AT T	AT&T MOBILITY	12/15/2022	32.00
27173	AT&T	AT&T	12/15/2022	69.42
27174	CAMACHO	Lucia Camacho	12/15/2022	65.22
27175	CINTAS	Cintas Corporation No. 2	12/15/2022	2,369.29
27176	DOJ	State of California	12/15/2022	79.00
27177	DPR	Department of Pesticide Regulation	12/15/2022	260.00
27178	EWING	Ewing Irrigation Products, Inc.	12/15/2022	95.42
27179	F&M Bank	Farmers & Merchants Bank	12/15/2022	159.00
27180	GG WATER	City of Garden Grove	12/15/2022	501.35
27181	HOME DEP	Home Depot Credit Services	12/15/2022	292.10
27182	INSIGHT	Insight Public Sector, Inc.	12/15/2022	4,320.36
27183	LIFTECH	Michael Patrick Vane	12/15/2022	705.00
27184	LIVESCAN	A Livescan Center OC Inc.	12/15/2022	20.00
27185	PELLET	Pelletier Associates Inc	12/15/2022	1,040.00
27186	S&R	S & R A/C And Heating, Inc.	12/15/2022	241.25
27187	SOKANARY	Sokanary Sun	12/15/2022	546.72
27188	STAPLES	Staples Business Advantage	12/15/2022	203.83
27189	WOODRUFF	Woodruff Spradlin & Smart	12/15/2022	200.00
Total for 12/15/2022:				13,435.96
ACH	CalPERS1	CalPERS	12/19/2022	38,123.94
ACH	EDD	Employment Development Dept.	12/19/2022	12,436.75
ACH	IRS	Internal Revenue Service	12/19/2022	41,020.52
ACH	NATION	Nationwide Retirement Solutions	12/19/2022	4,705.00
ACH	TASC	Total Administrative Services Corp.	12/19/2022	2,013.20
Total for 12/19/2022:				98,299.41
ACH	FEDEX	Federal Express Corp.	12/21/2022	52.43
ACH	GENEWIZ	Azenta US, Inc.	12/21/2022	132.30
ACH	SPECTRUM	Charter Communications	12/21/2022	1,494.00
ACH	Tustin	City of Tustin	12/21/2022	250.00
Total for 12/21/2022:				1,928.73
ACH	LINDE	LINDE GAS & EQUIPMENT INC.	12/22/2022	1,216.18
ACH	Steri	STERICYCLE	12/22/2022	1,050.59
ACH	TARGET	Target Specialty Products	12/22/2022	469.06
ACH	FM	CARDMEMBER SERVICE	12/22/2022	29,984.64
27190	ALARM	Thomas E. Kindschi	12/22/2022	270.00
27191	AMAZON	AMAZON/SYNCB	12/22/2022	2,289.96
27192	AUTO Z	Auto Zone, Inc.	12/22/2022	2,387.01
27193	BUI DAN	Danny Bui	12/22/2022	108.75
27194	CAGATE	California Gate & Entry System	12/22/2022	273.95
27195	CAMACHO	Lucia Camacho	12/22/2022	36.95
27196	Demsey	Demsey, Filliger & Associates, LLC	12/22/2022	3,500.00
27197	GENESEE	Genesee Scientific Corporation	12/22/2022	1,515.91
27198	Griffin	Griffin Hardware Company	12/22/2022	61.13
27199	MARTIN D	Daniel Martinez	12/22/2022	575.00

Check No	Vendor No	Vendor Name	Check Date	Check Amount
27200	OREILLY	O'Reilly Auto Enterprises, LLC	12/22/2022	22.27
27201	PARS	Public Agency Retirement Svcs	12/22/2022	300.00
27202	SCG	Southern Calif. Gas Co.	12/22/2022	713.76
27203	SPARK	Sparkletts	12/22/2022	202.01
27204	UNIVAR	Veseris ES OPCO USA LLC	12/22/2022	18,285.23
27205	XEROX	Xerox Financial Services	12/22/2022	616.62
Total for 12/22/2022:				63,879.02
ACH	CalPERS1	CalPERS	12/23/2022	38,067.28
ACH	EDD	Employment Development Dept.	12/23/2022	9,991.69
ACH	IRS	Internal Revenue Service	12/23/2022	34,923.56
ACH	NATION	Nationwide Retirement Solutions	12/23/2022	4,705.00
ACH	TASC	Total Administrative Services Corp.	12/23/2022	2,013.20
ACH	EEASSOC	OCVCD Employee Association	12/23/2022	825.00
ACH	ICMA	MissionSquare Retirement (ICMA)	12/23/2022	22,776.86
ACH	USB	PARS/U.S. Bank N.A. Minnesota	12/23/2022	186.00
Total for 12/23/2022:				113,488.59
ACH	ARIASA	Adina Arias	12/29/2022	234.05
ACH	BENNETT	Stephen Bennett	12/29/2022	234.05
ACH	BOBBITT	Catherine Bobbitt	12/29/2022	234.05
ACH	CAMPBELL	JAMES CAMPBELL	12/29/2022	116.50
ACH	CAVILEER	Raymond Cavileer	12/29/2022	234.05
ACH	CUMMINGS	Robert F. Cummings	12/29/2022	234.05
ACH	DAIKER	John Daiker	12/29/2022	260.80
ACH	EDISON	SUZANNE R. EDISON	12/29/2022	340.20
ACH	EVER	SANDRA EVERINGHAM	12/29/2022	104.90
ACH	FOGARTY	Carrie Fogarty	12/29/2022	234.05
ACH	Goedhart	Gerard Goedhart	12/29/2022	234.05
ACH	Huff	Robert Huff	12/29/2022	234.05
ACH	KELLER	JUSTINE KELLER	12/29/2022	116.50
ACH	KIMBALL	Deborah Kimball	12/29/2022	234.05
ACH	KOENIG	Steve Koenig	12/29/2022	234.05
ACH	LACHANCE	Glenn LaChance	12/29/2022	362.40
ACH	LOUGHNER	LINDA LOUGHNER	12/29/2022	243.00
ACH	MCCARTY	Danny McCarty	12/29/2022	234.05
ACH	MILLER J	Jon Miller	12/29/2022	234.05
ACH	MONTANI	Karen Montani	12/29/2022	100.07
ACH	NIEWOLA	Urszula Niewola	12/29/2022	234.05
ACH	PARSONS	John Parsons	12/29/2022	234.05
ACH	Plummer	John Clark Plummer	12/29/2022	234.05
ACH	POSPISIL	Terry Pospisil	12/29/2022	234.05
ACH	REES	JETTE REES	12/29/2022	112.00
ACH	Rehders	Renee Rehders	12/29/2022	234.05
ACH	REINIG	Allyson Reinig	12/29/2022	234.05
ACH	Reisin	Caroline Reisinger	12/29/2022	234.05
ACH	RINCON	Claudio Rincon	12/29/2022	234.05
ACH	RODRIG	Agripino Rodriguez	12/29/2022	234.05
ACH	SHAW	LAWRENCE SHAW	12/29/2022	234.05
ACH	SIPE	Russell Sipe	12/29/2022	234.05
Total for 12/29/2022:				7,139.52

Check No	Vendor No	Vendor Name	Check Date	Check Amount
Report Total (131 checks):				474,365.33



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

February 16, 2023

## AGENDA REPORT

### AGENDA ITEM E.3

**Prepared By:** Tan Nguyen, Finance Manager  
**Submitted By:** Lora Young, District Manager

#### Agenda Title:

Approve Monthly Financial Report for December 2022

#### Recommended Action:

Receive and file

#### Executive Summary:

Receive and file the Orange County Mosquito and Vector Control District Monthly Financial Report for December 2022.

#### Strategic Plan Compliance:

Complies with PRIORITY AREA 11: Fiscal Responsibility/Financial, GOAL 11.1: Conduct the Business and Operational Functions of the District in the most cost efficient and effective manner that prioritizes both fiscal and fiduciary responsibility to the residents of Orange County by ensuring a robust system of financial checks and balances are in place.

#### Fiscal Impact:

**Amount Requested \$ N/A**

**Sufficient Budgeted Funds Available:**

**Category: Pers. Optg. Cap. -or- CIP# Fund#**

#### Previous Relevant Board Actions for This Item:

#### Exhibits:

**Exhibit A:** Monthly Financial Report for December 2022

**Orange County Mosquito and Vector Control District**  
**Monthly Financial Report**  
**Month Ending December 31, 2022**

Fund No.	Fund	Cash Balance				Accrual	Cash Balance	Cash Balance
		11/30/2022	Revenue	Expenditures	Transfers	Adjustment	12/31/2022	12/31/2021
10	Operating	\$ 5,465,512	\$ 6,900,373	\$ 875,294	\$ -	\$ 8,129	\$ 11,498,720	\$ 11,026,280
20	Vehicle Replacement	606,466	-	-	-	-	606,466	592,260
30	Liability Reserve	366,701	-	-	-	-	366,701	371,087
40	Equipment Replacement	831,548	-	-	-	-	831,548	841,494
50	Emergency Vector Control	1,664,908	-	-	-	-	1,664,908	1,684,821
60	Facility Improvement	12,655,189	26,634	2,064	-	-	12,679,759	9,559,932
70	Habitat Remediation	100,002	-	-	-	-	100,002	100,002
90	Retiree Medical Insurance	(169,027)	2,212	17,354	-	-	(184,169)	(12,167)
95	Retirement Contingency	5,483	-	-	-	-	5,483	304,767
99	Payroll Clearing	452,555	-	-	-	5,404	457,959	3,680
		<b>\$ 21,979,337</b>	<b>\$ 6,929,219</b>	<b>\$ 894,712</b>	<b>\$ -</b>	<b>\$ 13,533</b>	<b>\$ 28,027,377</b>	<b>\$ 24,472,156</b>

Cash & Investment Balances:		Monthly Yield
California LAIF	\$ 14,490,618	2.173%
O.C. Treasurer	1,747,904	2.766%
Stifel Brokerage account		
Money market (par)	4,632	0.11%
Fixed income - Muni (par)	2,140,000	2.61%
Fixed income - other (par)	3,082,000	2.95%
Unamortized premium/(discount) on investments	88,830	n/a
F&M Checking	6,402,415	n/a
Payroll Checking	14,584	n/a
F&M HBP	46,395	0.05%
Petty Cash - Checking	10,000	n/a
<b>Total Cash and Investments</b>	<b>\$ 28,027,377</b>	

Section 115 Irrevocable Trust Balances:		3-month return
PARS Post-Employment Benefits Trust	\$ 3,889,981	4.94%
PARS Pension Trust	2,674,441	4.93%
<b>Total PARS Trust Balances</b>	<b>\$ 6,564,422</b>	

  
Lora Young  
District Manager

  
Tan Nguyen  
Finance Manager

**Monthly Cash Flow**

Month	Revenue	Expenditures	Transfers	Accrual Adjustment	Monthly Cash Flow	Prior Year Comparison
July	\$ 39,633	\$ 1,027,418	\$ -	\$ 365,164	\$ (622,621)	\$ (663,757)
August	68,523	1,941,868	-	(15,922)	(1,889,267)	(1,674,411)
September	147,624	1,574,774	-	(80,400)	(1,507,550)	(1,289,522)
October	138,259	1,070,062	-	135,686	(796,117)	(877,655)
November	2,178,028	881,899	-	(46,881)	1,249,248	1,838,186
December	6,929,219	894,712	-	13,533	6,048,040	4,615,914
January					-	169,082
February					-	(711,155)
March					-	(229,058)
April					-	3,590,299
May					-	(463,251)
June					-	(1,282,429)
<b>Total YTD</b>	<b>\$ 9,501,286</b>	<b>\$ 7,390,733</b>	<b>\$ -</b>	<b>\$ 371,180</b>	<b>\$ 2,481,733</b>	<b>\$ 3,022,243</b>

**Revenues: 50% of Fiscal Year**

10-Operating Fund	Budget	Actual	Percentage
Property Taxes	\$ 7,242,091	\$ 3,898,401	53.8%
1996 Benefit Assessment	1,578,625	861,472	54.6%
2004 Benefit Assessment	7,378,639	3,989,083	54.1%
Interest and Concessions	40,000	46,624	116.6%
Miscellaneous	15,000	4,625	30.8%
Successor Agency Passthru/Residual	425,000	413,890	97.4%
Rent for Cell Sites	29,909	16,226	54.3%
VCJPA Pooled Services	65,000	-	0.0%
CDPH - CA State Grant	-	-	0.0%
Charges for Services	60,000	21,641	36.1%
<b>Total Operating Fund Revenues</b>	<b>16,834,264</b>	<b>9,251,962</b>	<b>55.0%</b>

The major distributions of property tax and benefit assessments occur in four installments: December, January, April, and May.

Note 1

Monies come through the County and are unpredictable

No.	Other Funds	Budget	Actual	Percentage
20	Vehicle Replacement	13,000	23,192	178.4%
30	Liability Reserve	2,500	1,244	49.8%
40	Equipment Replacement	5,000	2,822	56.4%
50	Emergency Vector Control	9,500	5,649	59.5%
60	Facility Improvement	300,000	202,100	67.4%
70	Habitat Remediation	-	-	0.0%
90	Retiree Medical Insurance	33,000	14,298	43.3%
95	Retirement Contingency	1,500	19	1.3%
	<b>Total Other Funds</b>	<b>349,000</b>	<b>249,324</b>	<b>71.4%</b>
	<b>Total Revenue</b>	<b>\$ 17,198,764</b>	<b>\$ 9,501,286</b>	<b>55.2%</b>

Note 1: Through the fiscal year, interest is recorded as revenue when cash payments are received. At year-end, any difference between the market and book value of investments held is an unrealized gain or loss and is recorded as a component of interest revenue. Interest rates and market value have an inverse relationship whereby rising interest rates result in declining market value. Consequently, interest receipt trends do not predict the year-end interest revenue that will be reported in the annual financial statements.

**Expenditures: 50% of Fiscal Year**

No.	10-Operating Fund	Budget	Actual	Percentage
110	Trustees	\$ 66,660	\$ 22,079	33.1%
120	District Manager	471,230	212,293	45.1%
130	Legal Services	137,000	34,491	25.2%
140	Non-Departmental	347,000	127,116	36.6%
	<b>Executive</b>	<b>1,021,890</b>	<b>395,979</b>	<b>38.7%</b>
210	Administrative Services	878,165	359,566	40.9%
220	Insurance	832,600	811,554	97.5%
	<b>Administrative Services</b>	<b>1,710,765</b>	<b>1,171,120</b>	<b>68.5%</b>
310	Technical Services	2,165,641	1,041,211	48.1%
350	SIT Program	193,853	123,775	63.8%
	<b>Scientific Technical Services</b>	<b>2,359,494</b>	<b>1,164,986</b>	<b>49.4%</b>
410	Field Operations	7,362,558	3,379,265	45.9%
430	Vehicle Maintenance	938,669	294,650	31.4%
440	Building Maintenance	284,109	104,815	36.9%
	<b>Operations</b>	<b>8,585,336</b>	<b>3,778,730</b>	<b>44.0%</b>
510	Public Information	825,432	279,193	33.8%
520	Information Technology	871,107	398,043	45.7%
530	Public Service	181,189	77,188	42.6%
	<b>Public Information</b>	<b>1,877,728</b>	<b>754,424</b>	<b>40.2%</b>
	<b>Total Operating Fund Expenditures</b>	<b>15,555,213</b>	<b>7,265,239</b>	<b>46.7%</b>

Annual insurance premiums were paid in August.

Partial payment made for SIT equipment

No.	Other Funds	Budget	Actual	Percentage
20	Vehicle Replacement	-	-	0.0%
30	Liability Reserve	-	-	0.0%
40	Equipment Replacement	-	-	0.0%
50	Emergency Vector Control	-	-	0.0%
60	Facility Improvement	255,200	23,874	9.4%
70	Habitat Remediation	-	-	0.0%
90	Retiree Medical Insurance	225,800	101,620	45.0%
95	Retirement Contingency	200,000	-	0.0%
	<b>Total Other Funds</b>	<b>681,000</b>	<b>125,494</b>	<b>18.4%</b>
	<b>Total Expenditures</b>	<b>\$ 16,236,213</b>	<b>\$ 7,390,733</b>	<b>45.5%</b>

## STIFEL PRESTIGE® ACCOUNT STATEMENT

1 1 1 D195082 SSNR00901

**ORANGE COUNTY MOSQUITO &  
VECTOR CONTROL DISTRICT**  
13001 GARDEN GROVE BLVD  
GARDEN GROVE CA 92843-2102



*Your Financial Advisor (LU04):*  
SANDRA HEDSTROM WHEELER  
Telephone: (805) 783-2921

*Office Serving Your Account:*  
4460 BROAD STREET  
SUITE 210  
SAN LUIS OBISPO, CA 93401

**PRIMARY INVESTMENT OBJECTIVE: Income**  
**RISK TOLERANCE: Moderate**

For a full definition of this objective and risk tolerance, including the use of margin, please see [www.stifel.com](http://www.stifel.com), IMPORTANT DISCLOSURES, or contact your Financial Advisor. If you have any questions concerning your investment objective or risk tolerance, or wish to make a change, please contact your Financial Advisor or the Branch Manager for this office.

**TRADING TAX LOT RELIEF METHOD: First In, First Out**  
**INVESTOR UPDATE**

This issue of Investment Strategist contains a wealth of useful information, including retirement plan and Social Security changes for 2023, and tips on how to start the new year off right. Contact your Financial Advisor to learn more.

**ACCOUNT PROTECTION**

Stifel, Nicolaus & Company, Incorporated provides up to \$150 million of coverage for securities held in client accounts, of which \$1.15 million may be in cash deposits. Ask your Financial Advisor for more details.

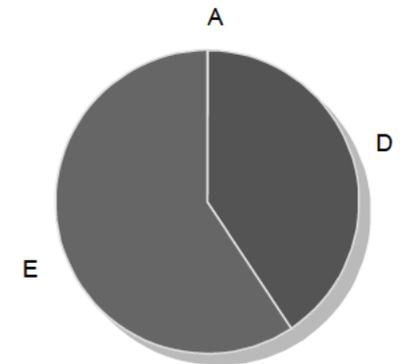
Thank you for allowing Stifel to serve you. In order to protect your rights, including rights under the Securities Investor Protection Act (SIPA), please promptly report, in writing, any inaccuracies or discrepancies in this account or statement to the Compliance Department of Stifel at the address below. If you have any questions regarding your account or this statement, please contact your Financial Advisor or the Branch Manager for this office. For additional information regarding your Stifel account, please refer to the current Stifel Account Agreement and Disclosure Booklet, which is available at [www.stifel.com/disclosures/account-agreement](http://www.stifel.com/disclosures/account-agreement).

PORTFOLIO SUMMARY	December 31	November 30
Net Cash Equivalents **	4,631.65	12,298.78
Net Portfolio Assets held at Stifel	5,006,769.44	4,993,051.13
Net Portfolio Assets not held at Stifel		
<b>Net Portfolio Value</b>	<b>\$5,011,401.09</b>	<b>\$5,005,349.91</b>
YOUR CHANGE IN PORTFOLIO VALUE	December 31	November 30
Net Cash Flow (Inflows/Outflows) <sup>2</sup>		
Securities Transferred In/Out		
Income and Distributions	4,600.19	9,634.56
Change in Securities Value	1,450.99	41,407.94
<b>Net Change in Portfolio Value</b>	<b>\$6,051.18</b>	<b>\$51,042.50</b>

\*\* See the Stifel Insured Bank Deposit Program Disclosure Statements for additional information.  
<sup>2</sup> Does not include cost or proceeds for buy or sell transactions.

**YOUR ASSET SUMMARY**

	Value on December 31, 2022 (\$)	Percentage of your account
A Net Cash Equivalents**	4,631.65	0.09%
D Fixed Income-Muni	2,033,677.30	40.58%
E Fixed Income-Other	2,973,092.14	59.33%
<b>Total Assets</b>	<b>\$5,011,401.09</b>	<b>100.00%</b>



## ASSET SUMMARY

	Value as of <b>December 31, 2022</b>				Gains/(-)Losses		
	<i>At Stifel</i>	<i>Not at Stifel</i>	<i>Total</i>	<i>% of assets *</i>	<i>Unrealized</i>	<i>Realized</i>	
						<i>This Period</i>	<i>Year-to-date</i>
Cash	1,083.33		1,083.33	0.02%			
Cash Sweep**	3,548.32		3,548.32	0.07%			
Margin Balance							
<b>A. Net Cash Equivalents</b>	<b>\$4,631.65</b>		<b>\$4,631.65</b>	<b>0.09%</b>			
B. Equities							
C. Preferreds							
D. Fixed Income-Muni	2,033,677.30		2,033,677.30	40.58%	-170,582.59		
E. Fixed Income-Other	2,973,092.14		2,973,092.14	59.33%	-128,966.68	-172.77	-11,409.25
F. Mutual Funds							
G. Unit Investment Trusts							
H. Insurance Products							
I. Alternative Investments							
J. Other Investments							
K. Stifel Smart Rate Program **							
<b>Net Portfolio Assets</b>	<b>\$5,006,769.44</b>	<b>\$0.00</b>	<b>\$5,006,769.44</b>	<b>99.91%</b>	<b>-\$299,549.27</b>	<b>-\$172.77</b>	<b>-\$11,409.25</b>
<b>Net Portfolio Value</b>	<b>\$5,011,401.09</b>	<b>\$0.00</b>	<b>\$5,011,401.09</b>	<b>100.00%</b>	<b>-\$299,549.27</b>	<b>-\$172.77</b>	<b>-\$11,409.25</b>

## INCOME & DISTRIBUTION SUMMARY

	<i>Security Type</i>	<i>Year-to-date</i>	<i>This period</i>
Dividends	Tax-Exempt		
	Taxable		
Interest	Tax-Exempt	3,300.00	
	Taxable	129,272.56	4,600.19
Capital Gain Distributions			
Return of Principal			
Other			
<b>Total Income &amp; Distributions</b>		<b>\$132,572.56</b>	<b>\$4,600.19</b>

## INFORMATION SUMMARY

	<i>Security Type</i>	<i>Year-to-date</i>	<i>This period</i>
Accrued Interest Paid	Tax-Exempt		
	Taxable	12,107.10	339.41
Accrued Interest Received	Tax-Exempt		
	Taxable	6,050.97	1,125.34
Gross Proceeds		1,839,285.50	99,853.00
Federal Withholding			
Foreign Taxes Paid			
Margin Interest Charged			

\* Please note "% of assets" figures are shown gross of any amounts owed to Stifel and/or net short positions.

\*\* Include balances which are FDIC insured bank deposits, not cash held in your Securities Account and not covered by SIPC.

## ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. Prices obtained from outside sources are considered reliable but are not guaranteed by Stifel. Actual prices may vary, and upon sale, you may receive more or less than your original purchase price. Contact your Financial Advisor for current price quotes. Gain/Loss is provided for informational purposes only. Cost basis may be adjusted for, but not limited to, amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. The Gain/Loss information should not be used for tax preparation without the assistance of your tax advisor. Lot detail quantity displayed is truncated to the one thousandth of a share.

### NET CASH EQUIVALENTS

	<i>Current value</i>	<i>Cost Basis</i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
CASH	1,083.33	1,083.33		
STIFEL FDIC INSURED	3,548.32	3,548.32	5.32	0.15%
<b>Total Net Cash Equivalents</b>	<b>\$4,631.65</b>	<b>\$4,631.65</b>	<b>\$5.32</b>	<b>0.11%</b>

#### STIFEL INSURED BANK DEPOSIT PROGRAM

Funds deposited through the Stifel Insured Bank Deposit Program (the "Program") may be deposited at multiple banks. The Program's Disclosure Statement is available at [www.stifel.com/disclosures/account-agreement](http://www.stifel.com/disclosures/account-agreement). The deposits are not covered by the Securities Investor Protection Corporation ("SIPC"). Deposits are insured by the FDIC within applicable limits.

Balances in the Program or in any money market fund offered as an available fund for Cash Investment Services at Stifel, subject to applicable limits, can be liquidated upon request and the proceeds returned to your securities account or can be distributed directly to you with the proper withdrawal form on file.

### PORTFOLIO ASSETS - HELD AT STIFEL

<b>Fixed Income-Muni</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
WATAUGA CNTY NC RFDG B/E TXBL CPN 2.330% DUE 06/01/23 DTD 10/02/19 FC 06/01/20 CUSIP: 94109SAT8 <i>Original Cost: 113,363.30</i>	S&P: AA <b>Cash</b>	110,000	99.1170 109,028.70	100.4247 110,467.17	213.58	-1,438.47	2,563.00	2.35%
FARRELL PA AREA SCH DIST AGM B/E TXBL CPN 1.270% DUE 08/15/23 DTD 08/18/20 FC 02/15/21 CUSIP: 311690HM6 <i>Original Cost: 50,930.00</i>	S&P: AA Moody: A1 <b>Cash</b>	50,000	97.9030 48,951.50	100.4734 50,236.71	239.89	-1,285.21	635.00	1.30%



**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Muni</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
CONNECTICUT ST SER A B/E TXBL CPN 1.998% DUE 07/01/24 DTD 06/11/20 FC 01/01/21 CUSIP: 20772KJW0 <i>Original Cost: 112,753.90</i>	S&P: AA- Moody: Aa3 <b>Cash</b>	110,000	96.2620 105,888.20	100.9459 111,040.49	1,098.90	-5,152.29	2,197.80	2.08%
KANSAS ST DEV FIN AUTH REV ATHLETIC FACS K ST SER B 2 B/E TXBL CPN 4.083% DUE 07/01/24 DTD 03/01/12 FC 07/01/12 CUSIP: 485429MF8 <i>Original Cost: 77,557.81</i>	S&P: A- Moody: A1 <b>Cash</b>	70,000	98.8210 69,174.70	104.5774 73,204.21	1,429.05	-4,029.51	2,858.10	4.13%
AMARILLO TX HOTEL OCCUP TAX REV AGM B/E TXBL CPN 3.070% DUE 08/15/24 DTD 03/15/16 FC 02/15/17 CUSIP: 023039AF7 <i>Original Cost: 80,422.25</i>	S&P: AA <b>Cash</b>	75,000	97.5160 73,137.00	103.6905 77,767.91	869.83	-4,630.91	2,302.50	3.15%
MIAMI DADE CNTY FL AVIATION REV RFDG SER B B/E TXBL CPN 2.504% DUE 10/01/24 DTD 08/25/16 FC 10/01/16 CUSIP: 59333PV39 <i>Original Cost: 208,207.00</i>	S&P: A <b>Cash</b>	200,000	95.9250 191,850.00	101.8072 203,614.39	1,252.00	-11,764.39	5,008.00	2.61%
SAN DIEGO CA CONVNTN CTR EXPANSION FING AUTH LSE REV RFDG B/E TXBL CPN 1.677% DUE 04/15/25 DTD 07/08/20 FC 10/15/20 CUSIP: 79727LBS7 <i>Original Cost: 173,029.30</i>	S&P: AA- <b>Cash</b>	170,000	93.4170 158,808.90	100.9618 171,635.05	601.86	-12,826.15	2,850.90	1.80%

**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Muni</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
NEW YORK NY CITY HSG DEV CORP MLTIFAM HSG REV SER E B/E CPN 3.000% DUE 05/01/25 DTD 09/24/14 FC 11/01/14 CALL 05/01/23 @ 100.000 CUSIP: 64972CBF9 <i>Original Cost: 117,294.45</i>	S&P: AA+ Moody: Aa2 <b>Cash</b>	110,000	99.6670 109,633.70	100.8178 110,899.54	550.00	-1,265.84	3,300.00	3.01%
SUFFOLK CNTY NY RFDG SER C AGM B/E TXBL CPN 1.607% DUE 06/15/25 DTD 11/18/20 FC 12/15/20 CUSIP: 86476PE20 <i>Original Cost: 252,280.00</i>	S&P: AA <b>Cash</b>	250,000	92.4940 231,235.00	100.4969 251,242.25	178.56	-20,007.25	4,017.50	1.74%
FLORIDA ST BRD ADMIN FIN CORP REV SER A B/E TXBL CPN 1.258% DUE 07/01/25 DTD 09/16/20 FC 01/01/21 CUSIP: 341271AD6 <i>Original Cost: 101,351.00</i>	S&P: AA Moody: Aa3 <b>Cash</b>	100,000	91.8150 91,815.00	100.8869 100,886.88	629.00	-9,071.88	1,258.00	1.37%
KENTUCKY HSG CORP REV SER B B/E TXBL CPN 3.312% DUE 07/01/25 DTD 12/01/16 FC 07/01/17 CUSIP: 49130TVJ2 <i>Original Cost: 216,995.00</i>	S&P: AAA Moody: Aaa <b>Cash</b>	200,000	95.8390 191,678.00	105.1670 210,334.00	3,312.00	-18,656.00	6,624.00	3.46%
CLEVELAND OH INCM TAX REV RFDG SUB LEIN SER A 1 B/E TXBL CPN 1.985% DUE 10/01/25 DTD 02/26/20 FC 10/01/20 CUSIP: 186387VE3 <i>Original Cost: 104,395.00</i>	S&P: AA Moody: Aa3 <b>Cash</b>	100,000	92.1940 92,194.00	102.4837 102,483.67	496.25	-10,289.67	1,985.00	2.15%

**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Muni</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
STEPHENSON CNTY IL SCH DIST 145 FREEPORT RFDG AGM B/E TXBL CPN 1.750% DUE 10/01/25 DTD 11/24/20 FC 04/01/21 CUSIP: 858892MD1 <i>Original Cost: 103,005.00</i>	S&P: AA <b>Cash</b>	100,000	92.0130 92,013.00	101.7228 101,722.80	437.50	-9,709.80	1,750.00	1.90%
BAY AREA TOLL AUTH CA TOLL BRDG REV SAN FRANCISO F 1 B/E TXBL CPN 2.425% DUE 04/01/26 DTD 09/26/19 FC 04/01/20 CUSIP: 072024WR9 <i>Original Cost: 133,472.85</i>	S&P: AA Moody: Aa3 <b>Cash</b>	125,000	93.5600 116,950.00	104.5633 130,704.13	757.81	-13,754.13	3,031.25	2.59%
UNIVERSITY CA REV RFDG GENL SER AS B/E TXBL CPN 2.587% DUE 05/15/26 DTD 04/20/16 FC 11/15/16 CUSIP: 91412GE27 <i>Original Cost: 161,363.00</i>	S&P: AA Moody: Aa2 <b>Cash</b>	150,000	93.1300 139,695.00	105.1624 157,743.61	495.84	-18,048.61	3,880.50	2.78%
MASSACHUSETTS EDL FING AUTH ED LN ISSUE L SR SER A REV B/E TXBL CPN 4.038% DUE 07/01/26 DTD 06/13/18 FC 01/01/19 CUSIP: 57563RPM5 <i>Original Cost: 248,805.20</i>	S&P: AA <b>Cash</b>	220,000	96.1930 211,624.60	109.2169 240,277.08	4,441.80	-28,652.48	8,883.60	4.20%
<b>Total Fixed Income-Muni</b>		<b>2,140,000</b>	<b>\$2,033,677.30</b>	<b>\$2,204,259.89</b>	<b>\$17,003.87</b>	<b>-\$170,582.59</b>	<b>\$53,145.15</b>	<b>2.61%</b>

Municipal Bonds held may or may not be tax free. Please consult with your tax advisor.

<b>Fixed Income-Other</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
AMERICAN EXPRESS NATL BK SANDY UT CD FDIC #27471 CPN 1.450% DUE 03/31/23 DTD 03/31/20 FC 09/30/20 CUSIP: 02589AB50	<b>Cash</b>	100,000	99.3330 " 99,333.00	100.0000 100,000.00	369.45	-667.00	1,450.00	1.46%

## ASSET DETAILS (continued)

### PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Fixed Income-Other	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income <sup>6</sup>	Unrealized Gain/(-)Loss <sup>10</sup>	Estimated Annualized Income	Estimated Yield %
CAPITAL ONE BANK USA NA GLEN ALLEN VA CD FDIC #33954 CPN 1.450% DUE 04/10/23 DTD 04/08/20 FC 10/08/20 CUSIP: 14042TDF1	Cash	240,000	99.2610 " 238,226.40	100.0000 240,000.00	810.41	-1,773.60	3,480.00	1.46%
MICROSOFT CORP NOTE CPN 2.375% DUE 05/01/23 DTD 05/02/13 FC 11/01/13 CALL 02/01/23 @ 100.000 CUSIP: 594918AT1 <i>Original Cost: 102,711.00</i>	S&P: AAA Moody: Aaa Cash	100,000	99.2850 99,285.00	100.0752 100,075.22	395.83	-790.22	2,375.00	2.39%
U S TREASURY NOTE CPN 2.875% DUE 09/30/23 DTD 09/30/18 FC 03/31/19 CUSIP: 9128285D8	Moody: Aaa Cash	120,000	98.7580 118,509.60	99.9875 119,985.05	881.46	-1,475.45	3,450.00	2.91%
CITIGROUP GLBL MKTS HLDG MEDIUM TERM NOTE CPN 5.200% DUE 11/30/23 DTD 11/30/22 FC 12/30/22 CUSIP: 17330YDC1 <i>Original Cost: 251,255.00</i>	S&P: A Moody: A2 Cash	250,000	99.4780 248,695.00	100.4609 251,152.35	36.11	-2,457.35	13,000.00	5.23%
BMW BANK OF NORTH AMER SALT LAKE CITY UT CD FDIC #35141 CPN 1.650% DUE 02/28/24 DTD 02/28/20 FC 08/28/20 CUSIP: 05580AVB7	Cash	200,000	96.6460 " 193,292.00	100.0000 200,000.00	1,139.18	-6,708.00	3,300.00	1.71%
SANTANDER UK PLC NOTE CPN 4.000% DUE 03/13/24 DTD 03/13/14 FC 09/13/14 CUSIP: 80283LAJ2	S&P: A Moody: A1 Cash	175,000	98.4460 172,280.50	98.8029 172,905.00	2,100.00	-624.50	7,000.00	4.06%
U S TREASURY NOTE CPN 2.500% DUE 05/15/24 DTD 05/15/14 FC 11/15/14 CUSIP: 912828WJ5 <i>Original Cost: 251,268.32</i>	Moody: Aaa Cash	250,000	97.0980 242,745.00	100.3388 250,847.07	811.47	-8,102.07	6,250.00	2.57%

**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Other</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
U S TREASURY NOTE CPN 3.000% DUE 06/30/24 DTD 06/30/22 FC 12/31/22 CUSIP: 91282CEX5	Moody: Aaa <b>Cash</b>	100,000	97.6410 97,641.00	99.5147 99,514.72	8.15	-1,873.72	3,000.00	3.07%
ROGERS MEM HOSP INC BOND CPN 2.383% DUE 07/01/24 DTD 08/28/19 FC 01/01/20 CUSIP: 775200AE8 <i>Original Cost: 104,880.00</i>	S&P: A <b>Cash</b>	100,000	95.5200 95,520.00	101.9178 101,917.75	1,191.50	-6,397.75	2,383.00	2.49%
U S TREASURY NOTE CPN 2.250% DUE 10/31/24 DTD 10/31/17 FC 04/30/18 CUSIP: 9128283D0 <i>Original Cost: 103,230.00</i>	Moody: Aaa <b>Cash</b>	100,000	96.0980 96,098.00	102.1396 102,139.58	385.36	-6,041.58	2,250.00	2.34%
SOUTHERN CA EDISON CO 1ST & RFDG MTG BOND SER E CPN 3.700% DUE 08/01/25 DTD 08/02/18 FC 02/01/19 CALL 06/01/25 @ 100.000 CUSIP: 842400GN7 <i>Original Cost: 150,425.00</i>	S&P: A- Moody: A3 <b>Cash</b>	150,000	96.8250 145,237.50	100.2366 150,354.92	2,312.50	-5,117.42	5,550.00	3.82%
SOCIETE GENERALE UNSECD MEDIUM TERM NOTE STEP CPN 1.000% DUE 08/19/25 DTD 08/19/20 FC 02/19/21 CALL 02/19/23 @ 100.000 CUSIP: 83369MD25	S&P: A Moody: A1 <b>Cash</b>	50,000	89.1860 44,593.00	98.5100 49,255.00	183.33	-4,662.00	500.00	1.12%
BMO HARRIS BANK NA CHICAGO IL CD FDIC #16571 CLLB CPN 3.000% DUE 11/26/25 DTD 05/26/22 FC 08/26/22 CALL 02/26/23 @ 100.000 CUSIP: 05600XFJ4	<b>Cash</b>	145,000	95.7300" 138,808.50	100.0000 145,000.00	429.04	-6,191.50	4,350.00	3.13%

**ASSET DETAILS (continued)**

**PORTFOLIO ASSETS - HELD AT STIFEL (continued)**

<b>Fixed Income-Other</b>	<i>Symbol/ Bond Rating/ Type</i>	<i>Quantity</i>	<i>Current Price/ Current Value</i>	<i>Average Unit Cost/ Cost Basis</i>	<i>Accrued Income<sup>6</sup></i>	<i>Unrealized Gain/(-)Loss<sup>10</sup></i>	<i>Estimated Annualized Income</i>	<i>Estimated Yield %</i>
SIMON PPTY GRP LP NOTE CPN 3.300% DUE 01/15/26 DTD 01/13/16 FC 07/15/16 CALL 10/15/25 @ 100.000 CUSIP: 828807CW5 <i>Original Cost: 131,249.00</i>	S&P: A- Moody: A3 <b>Cash</b>	120,000	95.0270 114,032.40	106.3844 127,661.33	1,826.00	-13,628.93	3,960.00	3.47%
MORGAN STANLEY SR NOTE CPN 3.875% DUE 01/27/26 DTD 01/27/16 FC 07/27/16 CUSIP: 61746BDZ6 <i>Original Cost: 100,291.00</i>	S&P: A- Moody: A1 <b>Cash</b>	100,000	96.7390 96,739.00	100.2663 100,266.29	1,657.64	-3,527.29	3,875.00	4.01%
LEGG MASON INC SR NOTE CPN 4.750% DUE 03/15/26 DTD 03/22/16 FC 09/15/16 CUSIP: 524901AV7 <i>Original Cost: 130,942.50</i>	S&P: A Moody: A2 <b>Cash</b>	125,000	100.3000 125,375.00	104.0114 130,014.25	1,748.26	-4,639.25	5,937.50	4.74%
BANK AMERICA CORP SR NOTE CPN 3.500% DUE 04/19/26 DTD 04/19/16 FC 10/19/16 CUSIP: 06051GFX2 <i>Original Cost: 105,286.00</i>	S&P: A- Moody: A2 <b>Cash</b>	100,000	95.3790 95,379.00	104.2637 104,263.73	700.00	-8,884.73	3,500.00	3.67%
FEDL HOME LOAN BANK BOND CPN 0.940% DUE 05/26/26 DTD 05/26/21 FC 11/26/21 CALL 11/26/21 @ 100.000 CUSIP: 3130AMH39	S&P: AA+ Moody: Aaa <b>Cash</b>	135,000	89.0170 120,172.95	100.0000 135,000.00	123.38	-14,827.05	1,269.00	1.06%
BROOKFIELD FIN INC GTD NOTE CPN 4.250% DUE 06/02/26 DTD 06/02/16 FC 12/02/16 CALL 03/02/26 @ 100.000 CUSIP: 11271LAA0	S&P: A- Moody: A3 <b>Cash</b>	115,000	96.4130 110,874.95	98.1793 112,906.25	393.72	-2,031.30	4,887.50	4.41%

## ASSET DETAILS (continued)

### PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Fixed Income-Other	Symbol/ Bond Rating/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Accrued Income <sup>6</sup>	Unrealized Gain/(-)Loss <sup>10</sup>	Estimated Annualized Income	Estimated Yield %
FEDL FARM CREDIT BANK BOND CPN 0.900% DUE 06/15/26 DTD 06/15/21 FC 12/15/21 CALL 01/09/23 @ 100.000 CUSIP: 3133EMH21	S&P: AA+ Moody: Aaa <b>Cash</b>	50,000	88.8910 44,445.50	99.8897 49,944.87	20.00	-5,499.37	450.00	1.01%
U S TREASURY NOTE CPN 2.250% DUE 02/15/27 DTD 02/15/17 FC 08/15/17 CUSIP: 912828V98 <i>Original Cost: 101,787.19</i>	Moody: Aaa <b>Cash</b>	98,000	93.1910 91,327.18	103.1637 101,100.44	832.87	-9,773.26	2,205.00	2.41%
FEDL HOME LOAN BANK BOND CPN 2.010% DUE 02/25/27 DTD 02/25/22 FC 08/25/22 CALL 05/25/22 @ 100.000 CUSIP: 3130AQUD3	S&P: AA+ Moody: Aaa <b>Cash</b>	100,000	90.8070 90,807.00	98.7550 98,755.00	703.50	-7,948.00	2,010.00	2.21%
STATE BANK OF INDIA NEW YORK NY CD FDIC #33682 CPN 2.200% DUE 03/10/27 DTD 03/10/22 FC 09/10/22 CUSIP: 856285J36	<b>Cash</b>	59,000	90.9740" 53,674.66	100.0000 59,000.00	401.85	-5,325.34	1,298.00	2.42%
<b>Total Fixed Income-Other</b>		<b>3,082,000</b>	<b>\$2,973,092.14</b>	<b>\$3,102,058.82</b>	<b>\$19,461.01</b>	<b>-\$128,966.68</b>	<b>\$87,730.00</b>	<b>2.95%</b>
Principal Protected Notes are subject to the credit risk of the issuer. Principal Protected Market Linked CDs are subject to applicable limits.								
<b>Total Portfolio Assets - Held at Stifel</b>			<b>\$5,006,769.44</b>	<b>\$5,306,318.71</b>		<b>-\$299,549.27</b>	<b>\$140,875.15</b>	<b>2.81%</b>
<b>Total Net Portfolio Value</b>			<b>\$5,011,401.09</b>	<b>\$5,310,950.36</b>		<b>-\$299,549.27</b>	<b>\$140,880.47</b>	<b>2.81%</b>

## FOOTNOTE DEFINITIONS

- <sup>6</sup> **Accrued Income:** Accrued Income amounts are provided for informational purposes only and are not included as part of the Net Portfolio Value. Accrued Income represents the sum of accrued interest and accrued dividends on securities positions, but which Stifel has not yet received. Stifel cannot guarantee the accuracy of the Accrued Income, which may be subject to change. Accrued Income amounts are not covered by SIPC and should not be relied upon for making investment decisions.
- <sup>10</sup> Please note "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing. Unrealized gains or losses are provided for your information only and should not be used for tax purposes.
- " The price assigned to this instrument may have been provided by a national pricing service and is derived from a 'market-driven pricing model.'  
This price may not be the actual price you would receive in the event of a sale prior to the maturity of the C.D. Additional information is available upon request.



ACTIVITY SUMMARY				CASH EQUIVALENTS		
Type of Activity	Activity	Year-to-date	This period	Cash	Cash Sweep	Margin
<b>Opening Balance - Net Cash Equivalents</b>			<b>\$12,298.78</b>	<b>\$946.39</b>	<b>\$11,352.39</b>	<b>\$0.00</b>
Buy and Sell Transactions	Assets Bought	-2,014,658.13	-113,245.66	-113,245.66		
	Assets Sold/Redeemed	1,845,336.47	100,978.34	100,978.34		
Deposits	Deposits Made To Your Account					
Withdrawals	Withdrawals From Your Account					
Income and Distributions	Income and Distributions	132,572.56	4,600.19	4,600.19		
Cash Sweep Activity	Cash Sweep Activity			7,804.07	-7,804.07	
Margin Interest	Margin Interest Charged					
Other	Other Transactions					
Cash Management Activity	Card Activity					
	ACH/ATM Activity					
Checkwriting Activity	Checks You Wrote					
<b>Closing Balance - Net Cash Equivalents</b>			<b>\$4,631.65</b>	<b>\$1,083.33</b>	<b>\$3,548.32</b>	<b>\$0.00</b>
Securities Transferred	Securities Transferred In/Out					

ACTIVITY DETAILS					CASH EQUIVALENTS			
					This period	Cash	Cash Sweep	Margin
<b>Opening Balance - Net Cash Equivalents</b>					<b>\$12,298.78</b>	<b>\$946.39</b>	<b>\$11,352.39</b>	<b>\$0.00</b>
<b>Assets Bought</b>								
Date	Activity	Quantity	Price	Description	Total	Cash	Cash Sweep	Margin
12/22/2022	Asset Bought	115,000.000	98.1750	BROOKFIELD FIN INC GTD NOTE CPN 4.250% DUE 06/02/26 DTD 06/02/16 FC 12/02/16 CALL 03/02/26 @ 100.000 CUSIP: 11271LAA0	-113,245.66	-113,245.66		
<b>Total Assets Bought</b>					<b>-\$113,245.66</b>	<b>-\$113,245.66</b>		



**ACTIVITY DETAILS continued**

**CASH EQUIVALENTS continued**

**Assets Sold/Redeemed**

<i>Date</i>	<i>Activity</i>	<i>Quantity</i>	<i>Price</i>	<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
12/22/2022	Asset Sold	-100,000.000	99.8580	MORGAN STANLEY BANK NA SALT LAKE CITY UT CD FDIC #32992 CPN 2.650% DUE 01/25/23 DTD 01/25/18 FC 07/25/18 CUSIP: 61747MH46	100,978.34	100,978.34		

<b>Total Assets Sold/Redeemed</b>					<b>\$100,978.34</b>	<b>\$100,978.34</b>		
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**Income and Distributions**

<i>Date</i>	<i>Activity</i>	<i>Quantity</i>	<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
12/1/2022	Interest		WATAUGA CNTY NC RFDG B/E TXBL CPN 2.330% DUE 06/01/23 DTD 10/02/19 FC 06/01/20 120122 110,000 CUSIP: 94109SAT8	1,281.50	1,281.50		
12/15/2022	Interest		FEDL FARM CREDIT BANK BOND CPN 0.900% DUE 06/15/26 DTD 06/15/21 FC 12/15/21 CALL 12/22/22 @ 100.000 121522 50,000 CUSIP: 3133EMH21	225.00	225.00		
12/15/2022	Interest		SUFFOLK CNTY NY RFDG SER C AGM B/E TXBL CPN 1.607% DUE 06/15/25 DTD 11/18/20 FC 12/15/20 121522 250,000 CUSIP: 86476PE20	2,008.75	2,008.75		
12/30/2022	Interest		STIFEL FDIC INSURED BANK DEPOSIT PROGRAM 123022 3,548 CUSIP: 09999844	1.61	1.61		



<b>ACTIVITY DETAILS continued</b>				<b>CASH EQUIVALENTS continued</b>			
<b>Income and Distributions continued</b>							
<i>Date</i>	<i>Activity</i>	<i>Quantity</i>	<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
12/30/2022	Interest		CITIGROUP GLBL MKTS HLDG MEDIUM TERM NOTE CPN 5.200% DUE 11/30/23 DTD 11/30/22 FC 12/30/22 123022 250,000 CUSIP: 17330YDC1	1,083.33	1,083.33		
<b>Total Income and Distributions</b>				<b>\$4,600.19</b>	<b>\$4,600.19</b>		
<b>Cash Sweep Activity</b>							
<i>Date</i>	<i>Activity</i>		<i>Description</i>	<i>Total</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
12/1/2022	Purchase		STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-946.39	946.39	
12/2/2022	Purchase		STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-1,281.50	1,281.50	
12/16/2022	Purchase		STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-2,233.75	2,233.75	
12/27/2022	Sale		STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		12,267.32	-12,267.32	
12/30/2022	Purchase		STIFEL FDIC INSURED BANK DEPOSIT PROGRAM		-1.61	1.61	
<b>Total Cash Sweep Activity</b>				<b>\$0.00</b>	<b>\$7,804.07</b>	<b>-\$7,804.07</b>	
				<i>This period</i>	<i>Cash</i>	<i>Cash Sweep</i>	<i>Margin</i>
<b>Closing Balance - Net Cash Equivalents</b>				<b>\$4,631.65</b>	<b>\$1,083.33</b>	<b>\$3,548.32</b>	<b>\$0.00</b>

## REALIZED GAINS/(-)LOSSES

This section provides estimated realized gains or losses for informational purposes only. Cost basis may be adjusted due to, but not limited to, the following: amortization, accretion, principal paydowns, capital changes, listed option premiums, gifting rules, inheritance step-up, or wash sales. Unless another method was in effect at the time of the trade, the trading tax lot relief method indicated on the first page of the statement was used to calculate gains or losses. Please review this information carefully for accuracy, and contact your Financial Advisor with any questions.

	<i>Closing Transaction</i>	<i>Date Acquired</i>	<i>Date Sold</i>	<i>Quantity</i>	<i>Cost Basis</i>	<i>Sale Proceeds</i>	<i>Realized Gain/(-)Loss**</i>
<b>Fixed Income-Muni</b>							
NORCO CA CMNTY REDEV AGY SUCCESS AGY REV TAX CUSIP: 655505BJ3	REDEEMED	04/15/20	03/01/22	150,000	150,000.00	150,000.00	N/A (LT)
OCEANSIDE CA PENSION OBLIG RFDG REV CUSIP: 675371AY4	REDEEMED	04/24/20	08/15/22	100,000	100,000.00	100,000.00	N/A (LT)
<b>Total Fixed Income-Muni</b>					<b>\$250,000.00</b>	<b>\$250,000.00</b>	<b>\$0.00</b>
<b>Fixed Income-Other</b>							
ALLY BANK SANDY UT CD CUSIP: 02007GLJ0	REDEEMED	08/23/19	08/29/22	200,000	200,000.00	200,000.00	N/A (LT)
BP CAP MKTS PLC GTD NOTE CUSIP: 05565QDB1	REDEEMED	09/07/21	06/30/22	140,000	150,479.56	140,000.00	-10,479.56 (ST)
FEDL NATL MTG ASSN NOTE CUSIP: 3135G0S38	REDEEMED	08/28/19	01/05/22	150,000	150,000.00	150,000.00	N/A (LT)
IBM CORP UNSECD NOTE CUSIP: 459200JX0		05/10/19	05/10/22	150,000	150,001.22	149,995.00	-6.22 (LT)
JPMORGAN CHASE & CO SR NOTE CUSIP: 46625HJH4		05/13/19	11/29/22	250,000	250,188.20	249,437.50	-750.70 (LT)
MORGAN STANLEY BANK NA SALT LAKE CITY UT CD CUSIP: 61747MH46		06/24/19	12/22/22	100,000	100,025.77	99,853.00	-172.77 (LT)
PFIZER INC NOTE CUSIP: 717081ER0	REDEEMED	05/21/19	03/11/22	100,000	100,000.00	100,000.00	N/A (LT)

\*\* Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.

**REALIZED GAINS/(-)LOSSES continued**

	<i>Closing Transaction</i>	<i>Date Acquired</i>	<i>Date Sold</i>	<i>Quantity</i>	<i>Cost Basis</i>	<i>Sale Proceeds</i>	<i>Realized Gain/(-)Loss**</i>
<b>Fixed Income-Other</b>							
SALLIE MAE BANK SALT LAKE CITY UT CD CUSIP: 7954502X2	REDEEMED	05/08/19	05/16/22	100,000	100,000.00	100,000.00	N/A (LT)
U S TREASURY NOTE CPN 2.250% DUE 04/15/22 CUSIP: 9128286M7	REDEEMED	07/12/19	04/18/22	250,000	250,000.00	250,000.00	N/A (LT)
UNITEDHEALTH GRP INC NOTE CUSIP: 91324PDD1	REDEEMED	02/03/20	10/17/22	150,000	150,000.00	150,000.00	N/A (LT)
<b>Total Fixed Income-Other</b>					<b>\$1,600,694.75</b>	<b>\$1,589,285.50</b>	<b>-\$11,409.25</b>
<b>Total Realized Gains/(-)Losses</b>					<b>\$1,850,694.75</b>	<b>\$1,839,285.50</b>	<b>-\$11,409.25</b>
<b>Total Net Short-Term (ST)</b>					<b>\$150,479.56</b>	<b>\$140,000.00</b>	<b>-\$10,479.56</b>
<b>Total Net Long-Term (LT)</b>					<b>\$1,700,215.19</b>	<b>\$1,699,285.50</b>	<b>-\$929.69</b>
<b>Total Net Other-Term (OT)</b>					<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

\*\* Please note "Realized Gain/(-)Loss" does not equal total sale proceeds minus total cost basis if any cost basis amounts are missing.



**Stifel Insured Bank Deposit Program**

Amount(s) listed below include accrued interest in the amount of \$1.61. The rate at month-end was 0.15%.

Description	Location	Previous Month Value	Current Month Value
Stifel Bank and Trust	St. Louis, MO	\$11,352.39	\$3,548.32
<b>Closing Balance - Stifel Insured Bank Deposit Program</b>			<b>\$3,548.32</b>

Your deposit balances at each Program Bank are eligible for insurance by the FDIC within applicable limits. The deposit balances are not insured by SIPC. Please refer to the Stifel Insured Bank Deposit Program Disclosure Statement and the Stifel Insured Bank Deposit Program for Retirement Accounts Disclosure Statement which are available at [www.stifel.com/disclosures/account-agreement](http://www.stifel.com/disclosures/account-agreement) or from your Financial Advisor.

# STIFEL

## Certain Definitions

“**Stifel**” means Stifel, Nicolaus & Company, Incorporated, Member SIPC and NYSE.

“**Stifel Banks**” means affiliated banks of Stifel, which may include Stifel Bank & Trust, Member Federal Deposit Insurance Corporation (“FDIC”); Stifel Bank, Member FDIC; Stifel Trust Company, National Association, Member FDIC; and Stifel Trust Company Delaware, National Association, Member FDIC. **Unless otherwise specified, products purchased from or held by Stifel in a securities account are not insured by the FDIC, are not deposits or other obligations of the Stifel Banks, are not guaranteed by the Stifel Banks, and are subject to investment risk, including possible loss of the principal.**

“**Stifel Smart Rate Program**” refers to a money market deposit account at Stifel Bank & Trust or Stifel Bank, each an affiliate of Stifel, which is made available to eligible clients of Stifel. The deposits are insured by the FDIC, within applicable limits, and are not cash held in your securities account. For additional information and terms and conditions concerning these deposits, see the Stifel Smart Rate Program Disclosure, which is available at [www.stifel.com/disclosures](http://www.stifel.com/disclosures) or from your Financial Advisor.

## Account Disclosures

**Errors and Inquiries** – You should review this statement carefully and notify the Manager of the Office servicing your account of anything you believe to be incorrect. Any verbal communications should be re confirmed in writing to protect your rights, including rights under SIPA. All statements furnished to you shall be considered accurate, complete, and acknowledged by you unless you report any inaccuracies to the Manager. Instructions and inquiries should be directed to your Financial Advisor. When making inquiries, please mention your account number. Please notify us promptly of any change of address.

**Investment Objective** – All clients are requested to promptly notify us of any material change in their investment objective or financial situation in order to assist us in maintaining current background and financial information.

**Pricing and Rating of Securities**– The pricing of securities displayed on your statement is derived from various sources and, in some cases, may be higher or lower than the price you would actually receive in the market. If we cannot obtain a price, “N/A” appears. For securities listed on an exchange or trading continually in an active marketplace, the prices reflect market quotations at the close of your statement period. For securities trading less frequently, we rely on third party pricing services or a computerized pricing model, which may not always reflect actual market values. Similarly, some insurance product values provided by outside carriers may be valued as of a date other than the statement date. Bond ratings of securities were obtained from various rating services. There is no guarantee with respect to their accuracy. For current price quotes, please contact your Financial Advisor.

**Cost Basis Information** – All information provided with respect to cost basis is derived from transactions in the account or information supplied by other sources. There is no guarantee as to the accuracy of cost basis information or the profit and loss information provided for tax lots designated as noncovered. Stifel uses the first in, first out method when calculating the realized gain or loss on sale transactions unless a specific identification is made prior to settlement date. The gain or loss provided on your statement is informational only and should not be used for tax reporting. A 1099 including the cost basis for sale proceeds from covered tax lots will be provided after year end for tax reporting. Please inform your Financial Advisor if a cost basis is not accurate.

**Transaction Dates** – All securities transactions are reflected on a trade date basis. Settlement of trades will normally occur in three business days unless stated differently on your trade confirmation. Title to securities sold to you where Stifel has acted as principal shall remain with Stifel until the entire purchase price is received or until the settlement date, whichever is later.

**Custody of Securities** – Securities held by Stifel, Nicolaus & Company, Incorporated for you, but which are not registered in your name, may be commingled with identical securities being held for other clients by our Correspondent, the Depository Trust Company, or in similar systems.

**Assets Held Away** – You may purchase certain assets through Stifel, which will be held at a custodial institution other than Stifel. Where available, we include information about these assets on your statement. The custodial institution is responsible, however, for providing year end tax reporting information (Form 1099) and separate periodic statements, which may vary from the information included on your Stifel statement because of different reporting periods. Your Stifel statements may also reflect other assets “not held” at Stifel, in addition to those held by a custodial institution. The value and nature of these investments is generally provided by you. Stifel does not guarantee the accuracy of the information with respect to the value of these investments as reflected on your statement. Assets held away are not covered by Stifel SIPC.

**Estimated Annual Income and Yields** – Estimated annual income and yields are calculated by annualizing the most recent distribution and do not reflect historical experience or project future results. The yield information for the money market funds is based on historical performance; future yields will fluctuate. These figures have been obtained from sources believed to be reliable, but no assurance can be made as to accuracy. Before investing in any of these funds, carefully read the prospectus, which is available through your Financial Advisor.

**Order Routing and Payment for Order Flow** – In order to access a wide variety of execution venues, the firm does participate in the maker/taker model. Certain exchanges and other trading centers to which the firm routes equities and options orders have implemented fee structures under which broker dealer participants may receive rebates on certain orders. Under these fee structures, participants are charged a fee for orders that take liquidity from the venue, and provided a rebate for orders that add liquidity to the venue. Rebates received by the firm from a venue during any time period may or may not exceed the fees paid by the firm to the venue during that time period. Fees and/or rebates from all venues are subject to change. Stifel will provide customers additional information regarding average net fees/rebates paid/received upon written request. For venues from which Stifel receives a rebate, Stifel is considered to be receiving payment for order flow.

Additional information will be provided upon written request, and certain order routing information is available online at [www.stifel.com/disclosures/best](http://www.stifel.com/disclosures/best) execution. On request of a customer and at no fee, Stifel will disclose to such customer the identity of the venue to which such customer’s orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non directed orders, and the time of the transactions, if any, that resulted from such orders. Orders may be routed and executed internally through Stifel’s trading desk. In such instances, Stifel stands to share in 100% of remuneration received (in the case of orders executed as agent) or profits or losses generated (in the case of orders executed as principal) as a result of internalizing such orders. Customers may mail their inquiries to: Stifel Attn: Equity Trading Compliance, One South Street, Baltimore, Maryland 21202.

**Tax Information** – Although your statement may describe certain items as Federally tax exempt, this is for information purposes only. When reporting your taxes, please rely exclusively on the substitute Form 1099 you will receive from us after year end for your taxable accounts. (For Retirement Accounts, Form 1099R will report distributions from the account rather than income and dividends or proceeds from sales.)

**SIPC Protection** – Stifel is a member of the Securities Investor Protection Corporation (SIPC). SIPC coverage protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). An explanatory brochure is available upon request or at [www.sipc.org](http://www.sipc.org), or investors may contact SIPC at (202) 371 8300. Stifel has purchased additional securities coverage of \$149,500,000 and cash coverage of \$900,000 for a total of \$150,000,000 of securities coverage and \$1,150,000 of cash coverage, subject to the terms and conditions of the policy, with an aggregate limit of \$300,000,000. (For more information, visit: [www.stifel.com/disclosures/asset](http://www.stifel.com/disclosures/asset) protection.) This coverage does not protect against market losses and does not cover securities not held by Stifel.

**LIBOR Transition** – Stifel is preparing for the discontinuation of LIBOR (the London Interbank Offered Rate), a key benchmark rate, and a transition to new alternative rates. Regulators have called for a market wide transition away from LIBOR. Certain investments you hold might use LIBOR as a benchmark. You should prepare for LIBOR to be discontinued and understand how this change may impact your investments. As a resource for clients, we have established a webpage containing important information, including risks and disclosures regarding the LIBOR transition at [www.stifel.com/disclosures/LIBOR](http://www.stifel.com/disclosures/LIBOR) 21.

**Margin Accounts** – If you have a margin account, this is a combined statement of your margin account and special memorandum account (“SMA”) maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the (“SMA”) as required by Regulation T is available for your inspection upon request. If you have applied for margin privileges and have been approved, you may borrow money from Stifel in exchange for pledging assets in your account as collateral for any outstanding margin loan. The amount you may borrow is based on Regulation T, Stifel’s internal policies, and the value of securities in your margin account. Securities held in a margin account are identified by the word “margin” on your statement. Stifel reserves the right to limit margin purchases and short sales and to alter its margin requirements and due dates for house or other margin calls in accordance with the Firm’s guidelines, market conditions, and regulatory margin requirements.

# STIFEL

## Account Disclosures Continued

**Margin Account Interest Charges** – The margin interest period includes the second to last day of the prior statement period through the third day prior to the last day of the current statement period. The margin interest charge is computed by multiplying the rate of interest by the average net daily settled debit balance and a fraction, the numerator of which is the number of days the debit balance existed, and the denominator of which is three hundred sixty (360). The rate of interest is determined by the cost of borrowing money and is subject to change without notice. The average net daily settled debit balance includes any settled credit and settled debit balances in your cash and margin accounts during the period. Please review the “Statement of Credit Terms” you have already received for further information.

**Late Charges** – If transactions in your account result in a debit balance in your cash account and you do not make payment by the settlement date, you may be subject to interest charges.

**Free Credit Balances** – Customer Free Credit Balances may be used in this Firm’s business subject to the limitations of 17CFR Section 240, 15c3 3 under The Securities Exchange Act of 1934. You have the right to receive from us in the course of normal business operations, upon demand, the delivery of: a) any Free Credit Balances to which you are entitled, b) any Fully Paid Securities to which you are entitled, c) any Securities purchased on margin upon full payment of any indebtedness to us. If you participate in Stifel Advantage or Stifel Prestige® Accounts, the payment to you of a Free Credit Balance may be subject to the cancellation of any commitment made in respect to your account for the payment of checks, ATM Card, or Point of Sale transaction charges, or other debit card transactions.

**Option Accounts** – 1) Commissions and other charges related to the execution of option transactions have been included on confirmations for such transactions, which have already been sent to you, and copies of confirmations are available upon request; 2) should you have any changes in your investment objective or current financial situation, you should advise your investment professional immediately; and 3) assignment notices for option contracts are allocated among client short positions pursuant to an automated procedure that randomly selects from all client short option positions those contracts that are subject to assignment, which includes positions established on the day of assignment. Additional information pertaining to the procedures used for random selection is available upon request.

**Complaints** – Complaints relating to your account(s) may be directed to Stifel, Legal Department, 501 North Broadway, St. Louis, Missouri 63102 or by phoning (800) 488 0970 or (314) 342 2000.

**Lost Certificates** – In the event your statement indicates that securities were delivered out of your account in certificate form and you have not received them, it is understood that you will notify Stifel immediately in writing. If written notification is received within 120 calendar days after the delivery date, as reflected on your statement, the certificate will be replaced free of charge. Thereafter, a fee for replacement may apply.

**Dividend Reinvestment** – (Optional) The dollar amount of Mutual Fund distributions, Money Market Fund income, or dividends on other securities shown on your statement may have been reinvested into additional shares. You will not receive confirmations for these reinvestment transactions. However, information pertaining to these transactions which would otherwise appear on confirmations will be furnished to you upon written request. In dividend reinvestment transactions, Stifel may act as your agent and receive payment for order flow. The source and nature of such payment will be furnished to you upon written request to Stifel or your introducing firm. If Stifel is currently a market maker in the eligible security, Stifel will purchase, as principal for you, additional shares at the opening market price.

**Stifel Information** – A Statement of Financial Condition of Stifel, Nicolaus & Company, Incorporated is available for your inspection at any of our offices, or a copy will be mailed to you upon request.

**Investor Education and Protection** – Under the Public Disclosure Program, the Financial Industry Regulatory Authority (“FINRA”) provides certain information regarding the disciplinary history of FINRA members and their associated persons via FINRA’s BrokerCheck Hotline (toll free (800) 289 9999) or on the FINRA website at [www.finra.org](http://www.finra.org), including an investor brochure that includes information describing FINRA BrokerCheck.

Stifel, Nicolaus & Company, Incorporated is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board (“MSRB”). Additional information may be obtained from the MSRB website at [www.msrb.org](http://www.msrb.org), including an investor brochure that is posted on the website describing the protections that may be provided by the MSRB rules and how to file a complaint with an appropriate regulatory authority.

**ERISA Section 408(b)(2) Notice** – For Service Provider Fee Disclosures under ERISA 408(b)(2), please see [www.stifel.com/disclosures/ERISA](http://www.stifel.com/disclosures/ERISA). Please direct any questions you may have to your Financial Advisor.

**Notification of Change in Circumstances and Availability of Investment Advisory Disclosure Brochures** – In the event that there are any material changes in your financial situation, investment objective(s), risk tolerance, or instructions regarding your account(s), please promptly report such changes to your Financial Advisor to ensure that your investment advisory accounts are being managed based on the most current information. You should review Stifel’s Form ADV Part 2A (Disclosure Brochure) for information and disclosures relating to Stifel’s investment advisory services (available at: [www.stifel.com/disclosures/investment advisory services/program disclosures](http://www.stifel.com/disclosures/investment%20advisory%20services/program%20disclosures)), including (but not limited to) a discussion of the various conflicts of interest to which our firm may be subject in the provision of investment advisory services to you.



COUNTY OF ORANGE  
**OFFICE OF THE TREASURER-TAX COLLECTOR**  
 Shari L. Freidenrich, CPA, CCMT, CPFA, ACPFIM  
 P. O. BOX 4515  
 SANTA ANA, CA 92702-4515



[octreasurer.com/publicfunds](http://octreasurer.com/publicfunds)

December 31, 2022

**ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT**

Attn: Richard Howard, District Manager  
 13001 Garden Grove Blvd.  
 Garden Grove, CA 92843

**Fund Number :** [REDACTED]

**DECEMBER 2022 STATEMENT**

**INVESTMENT BALANCE IN OCIP**

**Transactions**

<u>Transaction Date</u>	<u>Transaction Description</u>	<u>Authorized Signer</u>	<u>Amount</u>
12/01/2022	November 2022 Investment Admin Fee		\$ (79.14)
12/29/2022	September 2022 Interest Paid		\$ 1,886.80

**Summary**

Total Deposit:	\$	1,886.80	Beginning Balance:	\$	1,746,095.89
Total Withdrawal:	\$	(79.14)	Ending Balance:	\$	1,747,903.55



Local Agency Investment Fund  
 P.O. Box 942809  
 Sacramento, CA 94209-0001  
 (916) 653-3001

January 04, 2023

[LAIF Home](#)  
[PMIA Average Monthly Yields](#)

ORANGE COUNTY VECTOR CONTROL DISTRICT

DIRECTOR OF ADMINISTRATIVE SERVICES  
 13001 GARDEN GROVE BLVD  
 GARDEN GROVE, CA 92843

[Tran Type Definitions](#)

Account Number: XXXXXXXXXX

December 2022 Statement

Effective Date	Transaction Date	Tran Type	Confirm Number	Web Confirm Number	Authorized Caller	Amount
12/6/2022	12/5/2022	RD	1718796	N/A	TAN NGUYEN	1,500,000.00

**Account Summary**

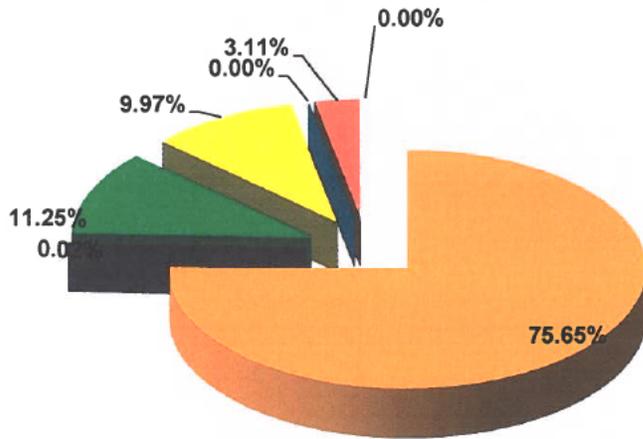
Total Deposit:	1,500,000.00	Beginning Balance:	12,990,618.16
Total Withdrawal:	0.00	Ending Balance:	14,490,618.16

**ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT - INVESTMENT SUMMARY Q2 FY 2023**

BASED ON FACE VALUE AT MATURITY

	STIFEL	LAIF	OCIP	Combined Total
Pooled Investment	\$0	\$14,490,618	\$1,747,904	\$16,238,522
Cash or Money Markets	\$4,632	\$0	\$0	\$4,632
Corporate Bonds & Notes	\$2,414,000	\$0	\$0	\$2,414,000
Municipal Bonds	\$2,140,000	\$0	\$0	\$2,140,000
Govt. Backed CMO Securities	\$0	\$0	\$0	\$0
US Bonds	\$668,000	\$0	\$0	\$668,000
Government Securities	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$5,226,631.65</b>	<b>\$14,490,618.16</b>	<b>\$1,747,903.55</b>	<b>\$21,465,153.36</b>

**Investment Portfolio Summary**



*Lora Young*  
 Lora Young  
 District Manager

*Tan Nguyen*  
 Tan Nguyen  
 Finance Manager

## SUMMARY OF INVESTMENTS BY CORPORATION/GOV'T BY INVESTMENT TYPE

	STIFEL	LAIF	OCERS	Combined Total
<b><u>Pooled Funds</u></b>				
Pooled Funds	\$0.00	\$13,938,605	\$1,743,611	\$15,682,215
<b>Totals</b>	<b>\$0.00</b>	<b>\$13,938,605</b>	<b>\$1,743,611</b>	<b>\$15,682,215</b>
			% of total fund	<b>74.98%</b>

	STIFEL	LAIF	OCERS	Combined Total
<b><u>Certificates of Deposit</u></b>				
<b>Totals</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
			% of total fund	<b>0.00%</b>

	STIFEL	LAIF	OCERS	Combined Total
<b><u>Cash or Money Markets</u></b>				
STIFEL Deposit Program	\$11,606.62	\$0.00	\$0.00	\$11,606.62
<b>Totals</b>	<b>\$11,606.62</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$11,606.62</b>
			% of total fund	<b>0.06%</b>

	STIFEL	LAIF	OCERS	Combined Total
<b><u>Corporate Bonds &amp; Notes</u></b>				
American Express Nat'l Bk	\$100,000.00	\$0.00	\$0.00	\$100,000.00
Capital One Bank USA	\$240,000.00	\$0.00	\$0.00	\$240,000.00
Microsoft Corp Note	\$100,000.00	\$0.00	\$0.00	\$100,000.00
CITIGROUP GLBL MKTS HLDG	\$250,000.00	\$0.00	\$0.00	\$250,000.00
BMW Bank of North Amer	\$200,000.00	\$0.00	\$0.00	\$200,000.00
Santander UK PLC	\$175,000.00	\$0.00	\$0.00	\$175,000.00
Rogers Mem Hosp Inc Bond	\$100,000.00	\$0.00	\$0.00	\$100,000.00
Socal Edison	\$150,000.00	\$0.00	\$0.00	\$150,000.00
Societe Generale	\$50,000.00	\$0.00	\$0.00	\$50,000.00
BMO Harris Bank NA	\$145,000.00	\$0.00	\$0.00	\$145,000.00
Simon PPTY Grp LP Note	\$120,000.00	\$0.00	\$0.00	\$120,000.00
Morgan Stanley Bank	\$100,000.00	\$0.00	\$0.00	\$100,000.00
Legg Mason Inc	\$125,000.00	\$0.00	\$0.00	\$125,000.00
Bank of America Corp	\$100,000.00	\$0.00	\$0.00	\$100,000.00
Brookfield Fin Inc	\$115,000.00	\$0.00	\$0.00	\$115,000.00
FHLB	\$135,000.00	\$0.00	\$0.00	\$135,000.00
FEDL Farm Credit Bank	\$50,000.00	\$0.00	\$0.00	\$50,000.00
FHLB	\$100,000.00	\$0.00	\$0.00	\$100,000.00
State Bank of India	\$59,000.00	\$0.00	\$0.00	\$59,000.00
<b>Totals</b>	<b>\$2,414,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2,414,000.00</b>
			% of total fund	<b>11.54%</b>

	STIFEL	LAIF	OCERS	Combined Total
<b><u>Municipal Bonds</u></b>				
Watauga Cnty NC Rfdg	\$110,000.00	\$0.00	\$0.00	\$110,000.00
Farrell, PA SCH DIST	\$50,000.00	\$0.00	\$0.00	\$50,000.00
Connecticut St	\$110,000.00	\$0.00	\$0.00	\$110,000.00
Kansas ST DEV FIN AUT	\$70,000.00	\$0.00	\$0.00	\$70,000.00
Amarillo, TX HOTEL TAX REV	\$75,000.00	\$0.00	\$0.00	\$75,000.00
Miami Dade Cnty FL Aviation Bonds	\$200,000.00	\$0.00	\$0.00	\$200,000.00
San Diego CONVNTN	\$170,000.00	\$0.00	\$0.00	\$170,000.00
New York, NY HSG DEV	\$110,000.00	\$0.00	\$0.00	\$110,000.00
Suffolk CNTY NY	\$250,000.00	\$0.00	\$0.00	\$250,000.00
Florida ST BRD ADMIN FIN	\$100,000.00	\$0.00	\$0.00	\$100,000.00
Kentucky HSG Corp REV	\$200,000.00	\$0.00	\$0.00	\$200,000.00
Cleveland OH INCM TAX	\$100,000.00	\$0.00	\$0.00	\$100,000.00
Stephenson CNTY IL SCHL	\$100,000.00	\$0.00	\$0.00	\$100,000.00
Bay Area TOLL AUTH	\$125,000.00	\$0.00	\$0.00	\$125,000.00
University CA REV	\$150,000.00	\$0.00	\$0.00	\$150,000.00
Massachusetts EDL FING AUTH	\$220,000.00	\$0.00	\$0.00	\$220,000.00
<b>Totals</b>	<b>\$2,140,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$2,140,000.00</b>
			<b>% of total fund</b>	<b>10.23%</b>

	STIFEL	LAIF	OCERS	Combined Total
<b><u>Government Backed CMO Securities</u></b>				
GNMA	\$0.00	\$0.00	\$0.00	\$0.00
<b>Totals</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
			<b>% of total fund</b>	<b>0.00%</b>

	STIFEL	LAIF	OCERS	Combined Total
<b><u>Government Securities</u></b>				
FNMA	\$0.00	\$0.00	\$0.00	\$0.00
<b>Totals</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
			<b>% of total fund</b>	<b>0.00%</b>

<b><u>US Bonds</u></b>				
US Treasury Bill	\$120,000.00	\$0.00	\$0.00	\$120,000.00
US Treasury Bill	\$100,000.00	\$0.00	\$0.00	\$100,000.00
US Treasury Bill	\$100,000.00	\$0.00	\$0.00	\$100,000.00
US Treasury Bill	\$98,000.00	\$0.00	\$0.00	\$98,000.00
US Treasury Bill	\$250,000.00	\$0.00	\$0.00	\$250,000.00
	<b>\$668,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$668,000.00</b>
				<b>3.19%</b>

				<b>\$20,915,822.07</b>
<b>Grand Total</b>	<b>\$5,233,606.62</b>	<b>\$13,938,604.69</b>	<b>\$1,743,610.76</b>	<b>\$20,915,822.07</b>



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

February 16, 2023

## AGENDA REPORT

### AGENDA ITEM: E.4

**Prepared By:** Tawnia Pett, Executive Assistant/Clerk of the Board  
**Submitted By:** Lora Young, District Manager

### Agenda Title:

Approve Board of Trustee Committee Assignments for 2023

### Recommended Action:

President Peggy Huang recommends that the Board of Trustees approve the committee assignments for 2023.

### Executive Summary:

The 2023 Trustee committee assignments were compiled utilizing the Trustee questionnaires and have been approved by President Peggy Huang. Upon approval by the Board, new committees will commence immediately.

### Strategic Plan Compliance:

This action complies with: PRIORITY AREA 1: Collaboration and Engagement with Partner Agencies, Stakeholders, and the Public. GOAL 1.1: Improve Trustee education, engagement, and advocacy. STRATEGY: Increase Trustee engagement and awareness through structured training, education, and collaborative programs.

### Fiscal Impact:

**Amount Requested \$**  
**Sufficient Budgeted Funds Available:**  
**Category: Pers. Optg. Cap. -or- CIP# Fund#**

### Previous Relevant Board Actions for This Item:

### Exhibits:

**Exhibit A:** Trustee Committee Assignments for 2023

OFFICERS AND COMMITTEES FOR 2023

BOARD OF TRUSTEES

ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

PRESIDENT:	Peggy Huang	Yorba Linda
VICE-PRESIDENT:	Craig Green	Placentia
SECRETARY:	Cecilia Hupp	Brea

EXECUTIVE COMMITTEE

(4 Members)

Peggy Huang (President)	Yorba Linda
Craig Green (Vice President)	Placentia
Cecilia Hupp (Secretary)	Brea
James Gomez (Past President)	La Habra

BUDGET & FINANCE

(9 Members)

Richard Hurt	Aliso Viejo
Lucille Kring	Anaheim
Cecilia Hupp	Brea
Joyce Ahn	Buena Park
Fred Jung	Fullerton
Mike Posey	Huntington Beach
Bob Ruesch	Mission Viejo
Gary Taylor	Stanton
Crystal Miles	Villa Park

POLICY & PERSONNEL

(9 Members)

Fred Jung	Fullerton
Tammy Kim	Irvine
Erica Pezold	Laguna Hills
Shari Horne	Laguna Woods
Vladimir Anderson	Lake Forest
Tanya Doby	Los Alamitos
Jon Dumitru	Orange
April Josephson	RS Margarita
Rebecca Gomez	Tustin

OPERATIONS

(7 Members)

Bill Turpit	Costa Mesa
Bonnie Peat	Cypress
Debbie Baker	La Palma
Vladimir Anderson	Lake Forest
Steve Knoblock	San Clemente
Nelida Mendoza	Santa Ana
Liz Guillen-Merchant	County of Orange

BUILDING, PROPERTY & EQUIP

(7 Members)

Lucille Kring	Anaheim
Cecilia Hupp	Brea
Bill Turpit	Costa Mesa
Debbie Baker	La Palma
Erica Pezold	Laguna Hills
Craig Green	Placentia
John Taylor	San Juan Capistrano

PUBLIC RELATIONS

(9 Members)

Bonnie Peat	Cypress
Stephanie Klopfenstein	Garden Grove
Rischi Paul Sharma	Laguna Niguel
Joy Brenner	Newport Beach
Jon Dumitru	Orange
April Josephson	RS Margarita
Nelida Mendoza	Santa Ana
Rebecca Gomez	Tustin
Amy Phan West	Westminster

NUISANCE ABATEMENT

(7 Members)

Mike Frost	Dana Point
Kim Constantine	Fountain Valley
Alex Rounaghi	Laguna Beach
Rischi Paul Sharma	Laguna Niguel
Bob Ruesch	Mission Viejo
Sandra Massa-Lavitt	Seal Beach
Peggy Huang	Yorba Linda

LEGISLATIVE

(9 Members)

Mike Frost	Dana Point	Joy Brenner	Newport Beach
Stephanie Klopfenstein	Garden Grove	Gary Taylor	Stanton
Tammy Kim	Irvine	Amy Phan West	Westminster
James Gomez	La Habra	Peggy Huang	Yorba Linda
Alex Rounaghi	Laguna Beach		



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

February 16, 2023

## AGENDA REPORT

### AGENDA ITEM F.1

**Prepared By:** Tan Nguyen, Finance Manager  
**Submitted By:** Lora Young, District Manager

#### Agenda Title:

Adopt Resolution No. 553 Appointing District Manager Lora Young as District Treasurer

#### Recommended Action:

Adopt Resolution No. 553 Appointing District Manager Lora Young as District Treasurer

#### Executive Summary:

The District administers its own funds and must appoint its own treasurer to administer those funds pursuant to Health and Safety Code Section 2077. The Board of Trustees has established the position of Treasurer by District Operations Code Section 2.01.040. The District has a comprehensive finance policy that provides oversight on District funds and finances. The position of Treasurer is authorized to act as the District's Treasurer or chief financial officer and to sign all bank cards and withdrawal applications for District funds. The procedures for depositing and withdrawing money and accounting for the District's funds shall be set forth in Government Code Section 53600 et seq.

The District Treasurer must maintain fidelity bond coverage in the amount of not less than One Hundred Thousand Dollars (\$100,000) and shall report to the President, District Counsel, and the Budget and Finance Committee when that coverage has been obtained. Such coverage shall remain in effect continuously while acting in the capacity as District Treasurer. Said Coverage may be provided through existing insurance coverage.

The previous District Manager Rick Howard was designated as the District Treasurer and has separated from the District and the Board of Trustees needs to approve a new District Treasurer. Staff recommends that the current District Manager, Lora Young, be named the District Treasurer.

#### Strategic Plan Compliance:

Complies with PRIORITY AREA 11: Fiscal Responsibility/Financial, GOAL 11.1: Conduct the Business and Operational Functions of the District in the most cost efficient and effective manner that prioritizes both fiscal and fiduciary responsibility to the residents of Orange County by ensuring a robust system of financial checks and balances are in place.

#### Fiscal Impact:

**Amount Requested \$ N/A**

**Sufficient Budgeted Funds Available:**

**Category: Pers. Optg. Cap. -or- CIP# Fund#**

**Previous Relevant Board Actions for This Item:**

Resolution No. 422

**Exhibits:**

Exhibit A: Resolution No. 553

**RESOLUTION NO. 553**

**A RESOLUTION OF THE BOARD OF TRUSTEES OF THE ORANGE COUNTY  
MOSQUITO AND VECTOR CONTROL DISTRICT**

**APPOINTING DISTRICT MANAGER LORA YOUNG  
AS DISTRICT TREASURER**

WHEREAS, the previous District Manager has separated from the District and Lora Young is now the District Manager; and

WHEREAS, the District administers its own funds and must appoint its own treasurer to administer those funds pursuant to Health and Safety Code Section 2077; and

WHEREAS, the Board of Trustees has established the position of Treasurer by District Operations Code Section 2.01.040 and does hereby desire to confirm that Lora Young shall act as Treasurer of the Orange County Mosquito and Vector Control District and that the District shall maintain an appropriate fidelity bond for that position.

NOW, THEREFORE, the Board of Trustees of the Orange County Mosquito and Vector Control District does hereby RESOLVE as follows:

1. District Manager Richard Howard will retire from district service on February 17, 2023 and Lora Young was appointed District Manager effective January 1, 2023.
2. That Lora Young is hereby appointed District Treasurer of the Orange County Mosquito and Vector Control District and that any acts taken by Lora Young in the capacity of Treasurer since she was appointed manager are hereby ratified.
3. That Lora Young is hereby afforded all powers of District Treasurer and is authorized to sign as the District's Treasurer or chief financial officer.
4. That Lora Young is authorized to sign all bank cards and withdrawal applications for District funds in accordance with her authority as Treasurer. Nothing herein stated shall supersede the need for other additional signatures when those are required.
5. That the District Treasurer shall ensure that she has obtained fidelity bond coverage in the amount of not less than One Hundred Thousand Dollars (\$100,000) and shall report to the President, District Counsel, and the Budget and Finance Committee when that coverage has been obtained and provide proof of the same. Such coverage shall remain in effect continuously while Lora Young remains as District Treasurer. Said Coverage may be provided through existing insurance coverage.
6. That the procedures for depositing and withdrawing money and accounting for the District's funds shall be set forth in Government Code Section 53600 et seq. and District Resolution No. 278 as amended by Resolution No. 287.

PASSED, APPROVED, and ADOPTED by the Board of Trustees of the Orange County Mosquito and Vector Control District at its regular meeting thereof held on the 16<sup>TH</sup> day of February 2023, at 13001 Garden Grove Blvd., Garden Grove, California, 92843.

---

Peggy Huang, President

I hereby certify that the foregoing Resolution was duly adopted by the Board of Trustees of the Orange County Mosquito and Vector Control District at a regularly scheduled meeting, held on February 16, 2023:

APPROVED AS TO FORM:

---

Cecilia Hupp, Secretary

---

Alan R. Burns, District Counsel



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

February 16, 2023

## AGENDA REPORT

### AGENDA ITEM F.2

**Prepared By:** Rick Howard, District Manager  
**Submitted By:** Lora Young, District Manager

#### Agenda Title:

Adopt Memorandum of Understanding with the Orange County Mosquito and Vector Control District Employees Association and Adopt Resolution No. 554 Amending Personnel and Salary Resolution No. 482 to Modify Language and Certain Employee Benefits

#### Recommended Action:

1. Approve the Memorandum of Understanding between the Orange County Mosquito and Vector Control District Employees Association for the period July 1, 2023 to June 30, 2026 and authorize District Manager Young to execute the MOU on behalf of the District.
2. Adopt Resolution No. 554 Amending Personnel and Salary Resolution No. 482 to Modify Language and Certain Employee Benefits for the period July 1, 2023 to June 30, 2026.

#### Executive Summary:

The District's current four-year year labor agreement between the District and Orange County Mosquito and Vector Control District Employees Association (OCMVCDEA/EA) expires on June 30, 2023.

Prior to the expiration of the current Memorandum of Understanding (MOU), and in order to incorporate any costs associated with the new MOU into the FY 2023-24 annual operating budget, negotiations were initiated between the parties in November 2022.

District Management has conducted good-faith labor negotiations with the EA over the past three-month period in order to facilitate a new MOU. Included in the discussions were issues raised by both parties regarding hours, wages, benefits, working conditions, and personnel rules.

In order to receive direction, management met with the Policy and Personnel Committee on November 30, 2022, and again on January 11, 2023.

Management and members of the EA negotiating team have concluded their negotiations and have executed a Tentative MOU outlining the terms of the proposed MOU.

Management staff presented to the Board of Trustees the terms of the proposed MOU at the January 19, 2023 meeting.

The results of the negotiations have been compiled in the proposed MOU. The term of the proposed MOU is three-years, commencing on July 1, 2023 and expiring on June 30, 2026. The attached proposed MOU is in a "redline / strikeout" format, which clearly highlights the proposed changes from the last agreed upon MOU.

**(Exhibit A)**

The existing four-year agreement called for wage adjustments in the amount of 3%, 2%, 2%, and 2% over the course of the four-year term. Additionally, the District contributed an additional \$10 per pay period in year three of the agreement to the District's Deferred Compensation program. There were also cost sharing formulas that determined the amount the District would contribute, or that employees would return to the District, depending on whether medical rates increased or decreased by \$50 monthly.

For the new MOU, the EA initially sought a two-year agreement. However, during the course of negotiations and after much discussion, the parties agreed to a longer-term agreement. This agreement, as proposed, eliminates one year's worth of negotiations, reduces stress throughout the organization, and helps improve overall employee morale. For employees covered by the MOU, they have the knowledge that they and their families will be compensated fairly during the three-year term of the agreement.

The terms of the proposed agreement were discussed by the OCMVCDEA membership, and their representatives report that the successor MOU has been ratified by a majority vote of members. A summary of the most significant revisions is included below.

**Wage Adjustments:**

The proposed MOU includes a 4% wage increase in 2023-24, 3% in 2024-25, and 2% in 2025-26, or 9% over the three-year term.

**Deferred Compensation Adjustments:**

The District participates in, and offers employees, two Internal Revenue Code 457(b) deferred compensation plan options. Those plans are offered to employees to encourage retirement savings and is an investment in the employees' future.

Currently, the District contributes \$160/pay period/per employee on a matching basis to the employees 457(b) Deferred Compensation plan (in order to receive the full \$160, or a part thereof, employees must match the amount up to \$160 of their own contributions). The proposed MOU increases Deferred Compensation contributions to \$170/pay period/per employee in 2023-24, \$175/pay period/per employee in 2024-25, and \$180/pay period/per employee in 2025-26.

**Boot Allowance Adjustment:**

Currently, District employees who are eligible for a Boot Allowance are allocated \$150 annually. Seasonal employees are allocated an allowance of \$200 annually. This item will raise the full-time annual allowance from \$150 to \$200, consistent with seasonal employees. The Boot Allowance has not increased in more than seven years.

**Bilingual Pay Allowance:**

Currently, the District compensates bilingual speakers that regularly utilize their foreign language skills in support of District business and activities. The District provides an additional \$32 per pay period for this benefit. It is proposed that the allowance increase to \$40 per pay period, but that eligible participants in the program be required to take a language skills test to confirm their skill sets meet, at a minimum, conversational level language skills.

**Juneteenth Holiday:**

Juneteenth is a state and federally recognized holiday that was added to the Federal holiday schedule in 2021 and to the state of California's holiday schedule in 2022.

It is proposed that Juneteenth be added to the list of District observed holiday's beginning in 2024.

The District has provided employees that are employed on March 1<sup>st</sup> of each year two-hours of compensatory time. Beginning in 2024, those two hours will be eliminated and will no longer be awarded.

**COVID/Inflation Payment:**

The Consumer Price Index for California increased 8.5% for the year ended March 2022, following a rise of 7.9% from February 2021 to February 2022. This far outweighs the consecutive 2% per year wage adjustments in the final two years of the current labor agreement.

Employees at the District suffered the same financial obstacles that resulted from inflation not seen in the prior 50-year period. During the course of the current agreement, employees shouldered increased costs, including food, fuel, and living expenses, just to name a few.

During this same time, District revenues exceeded expectations as property tax revenues rose due to a strong value increasing housing market.

In an effort to acknowledge the added burden of these inflationary costs to employees, the proposed MOU provides a one-time payment of \$3,000 that shall not be considered compensation earnable and subject to CalPERS withholdings. This is proposed to be paid to employees who were with the District on December 31, 2022.

The fiscal terms are as follows (assumes current staffing conditions):

	<b>FY 2022-23</b>	<b>FY 2023-24</b>	<b>FY 2024-25</b>	<b>FY 2025-26</b>	<b>Cost</b>
<b>Wage Adjustment</b>		4% \$249,700	3% \$259,700	2% \$270,100	\$779,500
<b>Deferred Comp. Adj.</b>		\$10/PP \$17,680	\$5/PP \$8,840	\$5/PP \$8,840	\$35,360
<b>Boot allowance (\$150 current)</b>		\$50/yr/EE \$2,500	\$2,500	\$2,500	\$7,500
<b>Bilingual Pay (\$32/PP currently)</b>		\$40/eligible EE/PP \$3,740	\$3,740	\$3,740	\$11,220
<b>Juneteenth</b>		\$29,000	\$29,870	\$30,460	\$89,330
<b>Delete 2 Hours Comp Time</b>		<\$6,210>	<\$6,210>	<\$6,210>	<\$18,630>
<b>Inflation payment</b>	\$198,000	-	-	-	\$198,000
<b>Total by Year</b>	\$198,000	\$296,410	\$298,440	\$309,430	\$1,102,280

**Retiree Eligibility:**

Retirees shall be eligible for District Retiree Health benefits once an eligible employee retires from the District after completing ten-years of employment at the District. This is a reduction in term of years. Data shows that in almost every case over the past ten years, retirees have exceeded more than 20 years of service. The fiscal impact associated with this amendment is minimal.

**Fiscal Impact:**

The costs associated with the proposed MOU shall be included as a part of the annual Budget process for the year in which the adjustments are made.

The cost to provide the COVID/Inflation payment is recommended to be paid from reserves as it is a one-time expense. It should be noted that the District contributed approximately \$2.7M to reserves this fiscal year.

**Recommendation:**

The District Manager recommends that the proposed MOU be approved by the Board of Trustees.

**Strategic Plan Compliance:**

Complies with PRIORITY AREA 9: Staffing

GOAL 9.1: Assess and address staffing needs/deficiencies and evaluate options for full-time and part-time staffing models that consider current and future demand on services and growth in the county.

**Fiscal Impact:**

**Amount Requested \$198,000 for FY 2022-23**

**Sufficient Budgeted Funds Available: No**

**Category: Fund #10 Operating Reserve**

**Previous Relevant Board Actions for This Item**

January 19, 2023 Board of Trustees Meeting – Closed Session

**Exhibits:**

**Exhibit A:** Proposed MOU (Strikeout/Underline Version)

**Exhibit B:** Proposed MOU (Clean Version)

**Exhibit C:** Resolution No. 554

MEMORANDUM OF UNDERSTANDING  
BETWEEN THE  
ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT  
AND THE  
ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT  
EMPLOYEES ASSOCIATION

July 1, 20~~23~~<sup>19</sup> to June 30, 20~~23~~<sup>63</sup>

1428390.1

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**PREAMBLE**

It is the intent and purpose of this MOU to set forth the understanding of the parties reached as a result of meeting and conferring in good faith regarding, but not limited to, matters relating to the wages, hours, and terms and conditions of employment between the District and the Association pursuant to Government Code Section 3500, et seq.

1428390.1

**Chapter I. GENERAL**

**Section 1.01 Term**

Except where expressly stated otherwise, the Orange County Mosquito and Vector Control District (District) and the Orange County Mosquito and Vector Control District Employees Association (Association) agree that the provisions of this Memorandum of Understanding (MOU) shall become effective July 1, 2023~~19~~ and shall expire on June 30, 2026~~3~~.

**Section 1.02 Recognition**

Pursuant to District Resolution No. ~~425-482~~ the Association is the exclusive representative for the following job classifications:

- A-59 Information Technology Analyst
- ~~A-58-55 Urban Water Program Manager~~ Vector Reduction Coordinator
- A-58 Integrated Vector Management Compliance Officer

[Redacted]

- A-53 Assistant Biologist
- A-53 Assistant Vector Ecologist
- A-53 Education Coordinator
- ~~A-52 Senior Accountant~~
- A-50 Information Technology Coordinator

[Redacted]

- A-43 Fleet and Equipment Maintenance Technician
- ~~A-36-40~~ Administrative Assistant
- ~~A-36-40~~ Vector Control Inspector I
- ~~A-36-40~~ Customer Service Representative II
- ~~A-33-36~~ Customer Service Representative I

**Commented [RH2]:** Confirm job title changes and update

\* Supervisory position per Appendix C of the Personnel and Salary Resolution

Extra Help Employees as defined in Personnel and Salary Resolution are excluded from the recognized unit, except as noted below:

1. The District agrees that it will not hire any employees into the classification of Vector Control Assistant who are not already Vector Control Assistants or who have previously held the position of Vector Control Assistant. When there are no employees remaining in the classification of Vector Control Assistant, the classification will be removed from the Position Control Schedule.
2. The Vector Control Assistant position will become represented by the Orange County Mosquito and Vector Control District Employees Association and will continue to be a represented position until it is eliminated.

This agreement will not change the wages, benefits, or at-will status of employees in the position of Vector Control Assistant.

**Section 1.03 Non-Discrimination**

Neither the District nor the Association in the application of the MOU or as part of the employment relationship, shall, in any way, unlawfully discriminate against any employee on the basis of race, ethnicity, religion, disability, gender, national origin, age, sexual orientation, or any other protected class or activity (as provided by state and federal law).

**Section 1.04 Separability**

If any article or section of this MOU shall be found to be in conflict with any statute or regulation of the United States or the State of California by a court of competent jurisdiction, such article or section shall be deemed null and void and of no further effect. However, such articles and sections shall be severable from the remainder of this MOU, and all other provisions hereof shall continue in full force and effect.

**Section 1.05 Integration and Construction**

This MOU represents the entire understanding of the parties hereto as to those matters contained herein, and no prior oral or written understanding shall be of any force or effect with respect to those matters covered by this MOU. This MOU shall be construed as if drafted by all of the parties hereto.

**Section 1.06 Addressing the Board of Trustees**

An opportunity to address the Board of Trustees shall be granted when requested in writing to the District Manager or the Clerk of the Board. In the event the Association makes a written request not less than fourteen (14) calendar days in advance of the Board Meeting, the Association request to address the Board shall be incorporated within the open session agenda. One Association representative shall be allowed to address the Board of Trustees for a maximum of five (5) minutes during such Board meeting.

1428390.1

**Chapter II. ASSOCIATION RIGHTS**

**Section 2.01 Release Time**

A. Release Time for Negotiations.

Three (3) employee representatives of the Association shall be allowed release time without loss of compensation when formally meeting and negotiating with District representatives during MOU negotiations or on any other matters within the scope of representation.

**Section 2.02 Employee Rights to Association Representation-**

The District recognizes the need and affirms the rights of the employees in the unit to have representation. Employees of the District shall have the right to be accompanied by an Association Representative at grievance meetings, or in meetings with the Board, the District Manager, or with a management or supervisory employee where the bargaining unit employee reasonably believes that a disciplinary action may result. The Association representative, if a District employee, and the employee will not suffer a loss of compensation during such meetings, if such meetings are held at the direction or request of District management and are held during regular working hours for the employee and Association representative. Meetings held during non-working hours will not result in overtime.

**Section 2.03 Use of Equipment and Facilities-**

Upon written approval in advance and without charge, the Association shall be granted the right to use the District boardroom for lawful Association business. The conditions of such use shall be consistent with applicable law, and permission shall not be unreasonably withheld.

**Section 2.04 Bulletin Boards-**

The Association may use the designated District bulletin boards. It shall be the sole right of the Association to place material on the bulletin boards and to remove unauthorized material. No material will be posted on the Association's portion of the bulletin board by the District. All material posted by the Association shall be dated and clearly identified by either official identification or the signature/title of the authorized Association official. In turn, the Association agrees that materials placed on the bulletin board will not create an adversarial work environment or are not of a derogatory or personal nature or inflammatory. The District may contact the Association without fear of any reprisal in the event that it perceives material in the bulletin board to be in violation of this section and request its removal.

**Section 2.05 District Records-**

The Association shall have the right at reasonable times to review and/or receive copies of any documents in the District's possession which are open by law to public inspection or which are necessary to the Association's fulfillment of its role as exclusive bargaining representative. The first copy of the material is free of charge; additional copies are \$1.00 per page.

**Chapter III. DISTRICT RIGHTS**

Unless specifically modified by the provisions of this Agreement, the District has and will retain the exclusive right to manage and direct the performance of District services and therefore the following matters will not be subject to the meet and confer process but shall be within the exclusive discretion of the District.

- A. To determine public policy;
- B. To determine the merits, necessity or organization of service or activity conducted by the District;
- C. To determine and change the facilities, methods, means and personnel by which the District operations are to be conducted;
- D. To expand or diminish services;
- E. To determine and change the number of locations, and types of operations and the processes and materials to be employed in carrying out all District functions; and to relocate the District's offices;
- F. To determine the size and composition of the work force, to assign work to employees in accordance with class specifications, and to determine new job classes, to establish and change work schedules and assignments (including work locations), and to determine the days and hours when employees shall work;
- G. To relieve employees from duty because of lack of work or funds;
- H. To discharge, suspend or otherwise discipline employees in accordance with established Personnel Rules and the MOU;
- I. To prepare class specifications for new work not currently performed by the bargaining unit and to maintain a classification plan;
- J. To hire, transfer, promote and demote employees in accordance with the provisions of the Personnel Rules and the MOU;
- K. To determine policies, procedures, rules and standards for selection and employment;
- L. To establish employee performance standards;
- M. To maintain the efficiency of District operations;
- N. To take any and all necessary actions to carry out its missions in emergencies, limited, however, to the duration of the emergency;
- O. To exercise complete control and discretion over the District's organization and technology of performing its work and services; and
- P. To establish reasonable work and safety rules and regulations in order to maintain the efficiency and economy desirable in the performance of District services.

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All represented employees shall retain all rights granted to them under the MMBA.

**Chapter IV. EMPLOYEE RIGHTS**

**Section 4.01 Employee Participation and Non-Participation Rights-**

The District and the Association recognize the right of employees to participate in lawful employee organization activities and the equal alternative right to refrain from participating in employee organization activities.

**Section 4.02 Personnel Files-**

The personnel file of each employee shall be maintained at the District's central administrative office, and shall not be removed for any reason.

- A. Employees shall be provided with copies of any written material placed in the employee's personnel file which could be used for disciplinary purposes. In such case, the employee shall be offered the material before such materials are placed in the employee's file.  
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- B. An employee shall have the right at a reasonable time, upon prior agreement with the District Manager, without loss of pay, to examine and/or obtain copies of any material from the employee's personnel file. The District shall allow employees, or with written permission from the employee, the employee's Association representative, to inspect his/her personnel file within two (2) days of the date of receipt of a written request for inspection. The District shall provide the District employee or authorized individual with a copy of any portion(s) of the personnel file requested at the time of inspection.
- C. All personnel files shall be kept in confidence and shall be available for inspection only to supervisory or management employees of the District who have an employment-related need for information, or to the Board's legal counsel, when necessary in the proper administration of the District's affairs or the supervision of the employee. The District shall keep a log indicating the persons who have examined a personnel file as well as the date such examinations were made. Such log and the employee's personnel file shall be available for examination by the employee or the employee's Association representative if authorized by the employee. The log shall be maintained in the employee's personnel file.
- D. Any person who places written material or drafts written material for placement in an employee's file shall sign the material and signify the date on which such material was drafted. Any written materials placed in a personnel file shall indicate the date of such placement.

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**Chapter V. DISTRICT PERSONNEL RULES**

It is understood and agreed that there exists within the District certain personnel rules, policies and practices contained in the Personnel and Salary Resolution No. 415 (11-20-2015) as modified by Resolution No. ~~420 and No. 425 (3-17-2016 and 5-19-2016)~~ 482 (05-15-2019), which will continue in effect, except for those provisions modified by this MOU, unless and until modified by mutual agreement of the parties (or unilateral implementation after the impasse process is completed) and enacted by the Board of Trustees in accordance with state law.

**Commented [RH3]:** Has there been an updated PSR? I think so.???

Except as provided herein all wages, hours, and other terms and conditions of employment presently enjoyed by affected employees set forth in the Personnel Rules shall remain in full force and effect during the term of the MOU. The District shall have the right to update said Personnel Rules that do not affect wages, hours, and other terms and conditions of employment with the understanding that prior to such changes the District will notify the authorized representatives of the Association. ~~presently enjoyed by affected employees set forth in the Personnel Rules shall remain in full force and effect during the term of the MOU. The District shall have the right to update said Personnel Rules that do not affect wages, hours and other terms and conditions of employment with the understanding that prior to such changes the District will notify the authorized representative of the Association.~~

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**Chapter VI. MOU DISTRIBUTION**

The District agrees to provide all new employees, covered by this MOU, a copy of the MOU. Both the District and the Association will equally share in the cost of printing the MOU.

**Chapter VII. NO STRIKE/NO LOCKOUT**

- A. Purpose. The Board of Trustees believes it is important for the District to continue to provide for control of mosquitoes and other vectors and the diseases they can transmit to humans at all times without interruption for any reason. Therefore, the Board, all employees and any employee organization will work together to prevent any disruption of service which constitutes an imminent and substantial threat to the public health and safety.
- B. No Lockout. The District agrees that there shall be no lockout of employees during the term of this Agreement.
- C. No Strike. During the term of this Agreement, the Association and its members will not cause, sanction or take part in any strike (whether sit- down, stay-in, sympathetic, general or any other kind), walk-out, stoppage of work, retarding of work or boycott (whether primary or secondary in nature), or any other interference with the operation and conduct of the District's business.
- D. Association Responsibility. In the event that any of the occurrences prohibited by the preceding Paragraph C take place, the Association shall immediately and publicly declare such action is not authorized and will use all means within its power to stop such

action at the earliest possible time, and will not honor any picket line set up under such circumstances.

- E. Disciplinary Action. It is agreed and understood that any employee violating this Article may be subject to appropriate discipline up to and including termination by the District.

#### **Chapter VIII. COMPENSATION**

Effective ~~the first full pay-period in July 2023, July 5, 2019,~~ the pay ranges for Regular full-time employees shall receive a cost-of-living adjustment increase of ~~34%~~ for fiscal year ~~202349/20240~~. Effective ~~the first full pay-period in July 2024, July 3, 2020,~~ the pay ranges for Regular full-time employees shall receive a cost-of-living adjustment increase of ~~23%~~ for fiscal year ~~20240/20251~~. Effective ~~the first full pay-period in July 2025, July 2, 2021,~~ the pay ranges for Regular full-time employees shall receive a cost-of-living adjustment increase of 2% for fiscal year ~~20254/20262~~. ~~Effective July 1, 2022 the pay ranges for Regular full-time employees shall receive a cost of living adjustment increase of 2% for fiscal year 2022/23.~~

[A one-time, non-precedent setting COVID/inflation payment of \\$3,000 say be paid to employees who were at the District on December 31, 2022. This payment that shall not be considered compensation earnable and subject to CalPERS withholdings. The COVID/inflation payment will be paid in the current fiscal year.](#)

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#### **Chapter IX. RETIREMENT**

The District contracts with CalPERS to provide retirement benefits for its employees. Pursuant to prior agreements and state mandated reform, the District has implemented first, second and third tier retirement benefits:

- A. Tier 1: For employees hired by the District prior to July 13, 2012 the retirement formula shall be the 2.0% @ 55.
- B. Tier 2: For employees first hired by the District after July 13, 2012 and are considered "Classic Members" as defined by CalPERS the retirement formula shall be 2% @ 60.
- C. Tier 3: For employees first hired by the District on or after January 1, 2013 and are considered "New Members" within the meaning of the California Public Employees' Pension Reform Act of 2013 the retirement formula shall be 2% @ 62. Employees will pay the full employee contribution, which will be one-half the normal rate as determined by CalPERS and there shall be no employer payment of any of the required employee contribution.

Effective July 11, 2014 all employees in the Tier 1 and Tier 2 plans shall pay the full seven (7%) of the required employee contribution to CalPERS. This shall be paid on a pretax basis.

**Chapter X. ADDITIONAL PROVISIONS MODIFYING THE DISTRICT'S  
PERSONNEL AND SALARY RESOLUTION**

**ARTICLE III — PAY PRACTICES**

**Section 14. Bilingual Pay**

Qualified employees who meet the following criteria shall receive an additional \$~~4032~~4032.00 per pay period or ~~fiftyforty~~ (450) cents per hour for 80 hours worked

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- A. An employee must be assigned by the District Manager to speak or translate a language in addition to English. This may include such specialized communication skills as sign language.
- B. Employees must regularly and frequently speak and/or translate a second language, i.e., once daily.
- C. To become qualified, employees must be certified as qualified by the District Manager and will be subject to a language test that demonstrates an employee's ability to perform "conversational or higher levels of translation.-"
- D. Bilingual pay shall not apply to workers' compensation supplement pay.

**SECTION 246. Tool Pay**

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Employees who voluntarily utilize their own mechanical tools for District related purposes to accomplish their employee duties and responsibilities, as they relate to the District and their designated positions, are eligible to receive seventy (70) dollars per month. A determination regarding eligibility shall be made by the District Manager.

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**ARTICLE V — LEAVE PROVISIONS**

**Section 12. Bereavement Leave**

Upon request, regular permanent/probationary, or limited-term employees shall receive necessary time off with pay, not to exceed five (5) days in any-one (1) instance, to arrange for or attend a funeral of a member of their immediate family. For purposes of this Section, immediate family shall mean father, father-in-law, mother, mother-in-law, step-parent, brother, sister, wife, husband, child, step-child, grandparent, grandchild, daughter-in-law, son-in-law, registered domestic partner, or legal guardian.

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**Section 28. Jury Duty**

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A regular permanent/probationary, or limited-term employee who is called for jury duty or for examination for jury duty shall be compensated at the employee's regular rate of pay for those

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hours of absence due to the jury duty which occur during the employee's regularly scheduled working hours for the duration of the jury service as required, provided the employee waives the payment or deposits the employee's fees for such hours of jury duty, exclusive of mileage, with the District Administrative Services Department. An employee may request a change in regularly scheduled working hours to a Monday through Friday day shift for the duration of such jury duty. Such requests shall be granted if practicable.

**ARTICLE VI — VACATION**

**Section 1.A**

New employees in permanent/probationary positions, including administrative management and confidential employees shall accrue vacation in accordance with the following schedule:

Years of Full-Time Continuous Service	Vacation Hours	Accrual per Pay Period
0-3 (0-36 months)	80	3.08 hours
4-7 (37-84 months)	120	4.62 hours
7+ (85+ months)	160	6.15 hours

**ARTICLE VII — HOLIDAYS**

**Section 1.A**

District employees shall observe the following holidays:

- New Year's Day
- Martin Luther King, Jr.'s Birthday
- Washington's Birthday (Presidents' Day)
- Memorial Day
- [Juneteenth \(June 19\)](#)
- Independence Day
- Labor Day
- Veteran's Day (November 11)
- Thanksgiving Day
- Day After Thanksgiving
- Christmas Eve
- Christmas Day
- Two (2) Floating Holidays (9 hours each). Floating Holidays shall be credited to each employee's bank on January 1<sup>st</sup> of each year. ~~Floating holidays earned in 2019 must be used by December 31, 2020.~~ All Floating Holiday hours must be used by December 31<sup>st</sup> of the year in which the hours were issued with the exception of 2019.

- B. When a holiday falls on a Sunday, the next regular working day shall be observed as the holiday.
- C. When Christmas Day or New Year's Day falls on a Saturday, the Friday immediately preceding each day shall be observed as the holiday.
- D. When any other holiday falls on a Saturday, eight (8) hours of compensatory time shall be

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credited to each regular permanent/probationary, limited-term employee's account, with a prorated amount credited for a part-time employee.

~~E. Full time employees who are on a pay status during the pay period which includes March 4 each fiscal year during the term of this agreement (commonly known as Good Friday), shall be credited with two (2) hours of compensatory time at the end of the pay period which includes that date. Part time employees who's regularly assigned work schedule is at least twenty (20) hours per week shall, in like manner, earn and be credited with one (1) hour of compensatory time.~~

**ARTICLE XVI — INSURANCE:**

**Section 1.A**

For the contract period, medical allowances shall be determined as follows:

In August of each year, enrollment in each medical plan will be determined. Those medical plans with five (5) or more District employees enrolled will be used to benchmark premiums. If said plans premiums increase \$50 or more, the District will increase the monthly medical allowance by \$50. If the plans premiums decrease \$50 or more, the District will decrease the monthly medical allowance by \$50 not to go below 202319 Allowances listed below.

202319 Allowances

	Employee	Employee + 1	Employee + Family
Supervisory Employees	\$1100950	\$15504400	\$18204670
All other permanent/probationary/limited term employees	\$1070920	\$15204370	\$17904640

Those employees that opt-out of a District medical plan shall receive a cash-in-lieu amount of \$920 per month. This amount can be used to pay for optional benefits (Dental, Vision, etc.) and/or receive as income (subject to taxation as wages). This amount shall remain for the contract period.

**Section 1.B5-B**

~~Active employees hired on or after July 1, 2009, shall receive the a retiree health monthly supplemental stipend in the amount of \$200 for having worked 10 or more continuous years, following supplement benefit portion toward the retiree health allotment upon retirement based on longevity with the District;~~

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<del>Employed Full-Time continuously 10-15 years</del>	<del>\$100 monthly stipend</del>
<del>Employed Full-Time continuously 15-20 years</del>	<del>\$150 monthly stipend</del>
<del>Employed Full-Time continuously 20 or more years</del>	<del>\$200 monthly stipend</del>

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Active employees who retire with less than 10 years of services shall only receive the CalPERS mandated retiree health allowance for the corresponding year under the statutory minimum contribution method as described in Section 1.A. above.

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**ARTICLE XVII — DEFERRED COMPENSATION shall be amended to read as follows:**

~~The District will match employee by-weekly contributions to a District Authorized Internal Revenue Code Section 457 (b) Deferred Compensation Plan according to the following schedule:~~

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- ~~Fiscal Year 2023-24 - \$170.00/PP effective first full pay period in July 2023.~~
- ~~Fiscal Year 2024-25 - \$175.00/PP effective first full pay period in July 2024~~
- ~~Fiscal Year 2025-26 - \$180.00/PP effective first full pay period in July 2025~~

~~The District will match up to \$150.00 per pay period through fiscal year 2020/2021, the District match will increase to \$160.00 per pay period effective July 1, 2022, of an employee's contribution to a District Authorized Internal Revenue Code Section 457 (b) Deferred Compensation Plan.~~  
 Employees may elect to cancel participation in the program by signing a form provided by the District's Human Resources ~~Administrative Services~~ Department.

IN WITNESS WHEREOF, the parties hereto executed this Memorandum of Understanding on ~~February 16, 2023~~ ~~August 15, 2019~~.

On behalf of the Orange County Mosquito and Vector Control District Employees Association:

~~John Drake~~ ~~Richard Arnold~~ \_\_\_\_\_ Date \_\_\_\_\_

OCMVCDEA President

~~Heather Hyland~~ ~~William Mudge~~ \_\_\_\_\_ Date \_\_\_\_\_

OCMVCDEA Vice President

On behalf of the Orange County Mosquito and Vector Control District, as authorized by Orange County Mosquito and Vector Control District Board of Trustees Resolution No. ~~554482~~:

~~Lora B. Young~~ ~~Richard J Howard~~ \_\_\_\_\_ Date \_\_\_\_\_  
District Manager

MEMORANDUM OF UNDERSTANDING  
BETWEEN THE  
ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT  
AND THE  
ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT  
EMPLOYEES ASSOCIATION

July 1, 2023 to June 30, 2026

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## **PREAMBLE**

It is the intent and purpose of this MOU to set forth the understanding of the parties reached as a result of meeting and conferring in good faith regarding, but not limited to, matters relating to the wages, hours, and terms and conditions of employment between the District and the Association pursuant to Government Code Section 3500, et seq.

## **Chapter I. GENERAL**

### **Section 1.01 Term**

Except where expressly stated otherwise, the Orange County Mosquito and Vector Control District (District) and the Orange County Mosquito and Vector Control District Employees Association (Association) agree that the provisions of this Memorandum of Understanding (MOU) shall become effective July 1, 2023 and shall expire on June 30, 2026.

### **Section 1.02 Recognition**

Pursuant to District Resolution No. 482 the Association is the exclusive representative for the following job classifications:

- A-59 Information Technology Analyst
- A-55 Vector Reduction Coordinator
- A-58 Integrated Vector Management Compliance Officer
- A-58 Biologist
- A-58 Microbiologist
- A-58 Operations Supervisor\*
- A-58 Vector Ecologist
- A-55 Vector Control Inspector III\*
- A-55 Fleet and Equipment Maintenance Coordinator\*
- A-55 GIS Coordinator
- A-54 Public Information Officer
- A-53 Assistant Biologist
- A-53 Assistant Vector Ecologist
- A-53 Education Coordinator
- A-50 Information Technology Coordinator
- A-50 Vector Control Inspector II
- A-50 Fleet and Equipment Maintenance Mechanic
- A-44 Accounting Specialist
- A-44 Communications Specialist
- A-44 Laboratory Specialist
- A-44 Operations Specialist
- A-43 Facilities Maintenance Technician
- A-43 Fleet and Equipment Maintenance Technician
- A-40 Administrative Assistant
- A-40 Vector Control Inspector I
- A-40 Customer Service Representative II
- A-36 Customer Service Representative I

\* Supervisory position per Appendix C of the Personnel and Salary Resolution

Extra Help Employees as defined in Personnel and Salary Resolution are excluded from the recognized unit, except as noted below:

1. The District agrees that it will not hire any employees into the classification of Vector Control Assistant who are not already Vector Control Assistants or who have previously held the position of Vector Control Assistant. When there are no employees remaining in the classification of Vector Control Assistant, the classification will be removed from the Position Control Schedule.
2. The Vector Control Assistant position will become represented by the Orange County Mosquito and Vector Control District Employees Association and will continue to be a represented position until it is eliminated.

This agreement will not change the wages, benefits, or at-will status of employees in the position of Vector Control Assistant.

### **Section 1.03 Non-Discrimination**

Neither the District nor the Association in the application of the MOU or as part of the employment relationship, shall, in any way, unlawfully discriminate against any employee on the basis of race, ethnicity, religion, disability, gender, national origin, age, sexual orientation, or any other protected class or activity (as provided by state and federal law).

### **Section 1.04 Separability**

If any article or section of this MOU shall be found to be in conflict with any statute or regulation of the United States or the State of California by a court of competent jurisdiction, such article or section shall be deemed null and void and of no further effect. However, such articles and sections shall be severable from the remainder of this MOU, and all other provisions hereof shall continue in full force and effect.

### **Section 1.05 Integration and Construction**

This MOU represents the entire understanding of the parties hereto as to those matters contained herein, and no prior oral or written understanding shall be of any force or effect with respect to those matters covered by this MOU. This MOU shall be construed as if drafted by all of the parties hereto.

### **Section 1.06 Addressing the Board of Trustees**

An opportunity to address the Board of Trustees shall be granted when requested in writing to the District Manager or the Clerk of the Board. In the event the Association makes a written request not less than fourteen (14) calendar days in advance of the Board Meeting, the Association request to address the Board shall be incorporated within the open session agenda. One Association representative shall be allowed to address the Board of Trustees for a maximum of five (5) minutes during such Board meeting.

## **Chapter II. ASSOCIATION RIGHTS**

### **Section 2.01 Release Time**

#### **A. Release Time for Negotiations.**

Three (3) employee representatives of the Association shall be allowed release time without loss of compensation when formally meeting and negotiating with District representatives during MOU negotiations or on any other matters within the scope of representation.

### **Section 2.02 Employee Rights to Association Representation**

The District recognizes the need and affirms the rights of the employees in the unit to have representation. Employees of the District shall have the right to be accompanied by an Association Representative at grievance meetings, or in meetings with the Board, the District Manager, or with a management or supervisory employee where the bargaining unit employee reasonably believes that a disciplinary action may result. The Association representative, if a District employee, and the employee will not suffer a loss of compensation during such meetings, if such meetings are held at the direction or request of District management and are held during regular working hours for the employee and Association representative. Meetings held during non-working hours will not result in overtime.

### **Section 2.03 Use of Equipment and Facilities**

Upon written approval in advance and without charge, the Association shall be granted the right to use the District boardroom for lawful Association business. The conditions of such use shall be consistent with applicable law, and permission shall not be unreasonably withheld.

### **Section 2.04 Bulletin Boards**

The Association may use the designated District bulletin boards. It shall be the sole right of the Association to place material on the bulletin boards and to remove unauthorized material. No material will be posted on the Association's portion of the bulletin board by the District. All material posted by the Association shall be dated and clearly identified by either official identification or the signature/title of the authorized Association official. In turn, the Association agrees that materials placed on the bulletin board will not create an adversarial work environment or are not of a derogatory or personal nature or inflammatory. The District may contact the Association without fear of any reprisal in the event that it perceives material in the bulletin board to be in violation of this section and request its removal.

## **Section 2.05      District Records**

The Association shall have the right at reasonable times to review and/or receive copies of any documents in the District's possession which are open by law to public inspection or which are necessary to the Association's fulfillment of its role as exclusive bargaining representative. The first copy of the material is free of charge; additional copies are \$1.00 per page.

## **Chapter III.      DISTRICT RIGHTS**

Unless specifically modified by the provisions of this Agreement, the District has and will retain the exclusive right to manage and direct the performance of District services and therefore the following matters will not be subject to the meet and confer process but shall be within the exclusive discretion of the District.

- A. To determine public policy;
- B. To determine the merits, necessity or organization of service or activity conducted by the District;
- C. To determine and change the facilities, methods, means and personnel by which the District operations are to be conducted;
- D. To expand or diminish services;
- E. To determine and change the number of locations, and types of operations and the processes and materials to be employed in carrying out all District functions; and to relocate the District's offices;
- F. To determine the size and composition of the work force, to assign work to employees in accordance with class specifications, and to determine new job classes, to establish and change work schedules and assignments (including work locations), and to determine the days and hours when employees shall work;
- G. To relieve employees from duty because of lack of work or funds;
- H. To discharge, suspend or otherwise discipline employees in accordance with established Personnel Rules and the MOU;
- I. To prepare class specifications for new work not currently performed by the bargaining unit and to maintain a classification plan;
- J. To hire, transfer, promote and demote employees in accordance with the provisions of the Personnel Rules and the MOU;
- K. To determine policies, procedures, rules and standards for selection and employment;
- L. To establish employee performance standards;
- M. To maintain the efficiency of District operations;
- N. To take any and all necessary actions to carry out its missions in emergencies, limited, however, to the duration of the emergency;
- O. To exercise complete control and discretion over the District's organization and technology of performing its work and services; and
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All represented employees shall retain all rights granted to them under the MMBA.

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The personnel file of each employee shall be maintained at the District's central administrative office, and shall not be removed for any reason.

- A. Employees shall be provided with copies of any written material placed in the employee's personnel file which could be used for disciplinary purposes. In such case, the employee shall be offered the material before such materials are placed in the employee's file. The employee shall have a right to attach a written response to the material within ten (10) working days of it being placed in the employee's file.
- B. An employee shall have the right at a reasonable time, upon prior agreement with the District Manager, without loss of pay, to examine and/or obtain copies of any material from the employee's personnel file. The District shall allow employees, or with written permission from the employee, the employee's Association representative, to inspect his/her personnel file within two (2) days of the date of receipt of a written request for inspection. The District shall provide the District employee or authorized individual with a copy of any portion(s) of the personnel file requested at the time of inspection.
- C. All personnel files shall be kept in confidence and shall be available for inspection only to supervisory or management employees of the District who have an employment-related need for information, or to the Board's legal counsel, when necessary in the proper administration of the District's affairs or the supervision of the employee. The District shall keep a log indicating the persons who have examined a personnel file as well as the date such examinations were made. Such log and the employee's personnel file shall be available for examination by the employee or the employee's Association representative if authorized by the employee. The log shall be maintained in the employee's personnel file.
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Except as provided herein all wages, hours, and other terms and conditions of employment presently enjoyed by affected employees set forth in the Personnel Rules shall remain in full force and effect during the term of the MOU. The District shall have the right to update said Personnel Rules that do not affect wages, hours, and other terms and conditions of employment with the understanding that prior to such changes the District will notify the authorized representatives of the Association.

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The District agrees to provide all new employees, covered by this MOU, a copy of the MOU. Both the District and the Association will equally share in the cost of printing the MOU.

## **Chapter VII. NO STRIKE/NO LOCKOUT**

- A. Purpose. The Board of Trustees believes it is important for the District to continue to provide for control of mosquitoes and other vectors and the diseases they can transmit to humans at all times without interruption for any reason. Therefore, the Board, all employees and any employee organization will work together to prevent any disruption of service which constitutes an imminent and substantial threat to the public health and safety.
- B. No Lockout. The District agrees that there shall be no lockout of employees during the term of this Agreement.
- C. No Strike. During the term of this Agreement, the Association and its members will not cause, sanction or take part in any strike (whether sit- down, stay-in, sympathetic, general or any other kind), walk-out, stoppage of work, retarding of work or boycott (whether primary or secondary in nature), or any other interference with the operation and conduct of the District's business.
- D. Association Responsibility. In the event that any of the occurrences prohibited by the preceding Paragraph C take place, the Association shall immediately and publicly declare such action is not authorized and will use all means within its power to stop such action at the earliest possible time, and will not honor any picket line set up under such circumstances.
- E. Disciplinary Action. It is agreed and understood that any employee violating this Article may be subject to appropriate discipline up to and including termination by the District.

## **Chapter VIII. COMPENSATION**

Effective the first full pay-period in July 2023, pay ranges for Regular full-time employees shall receive a cost-of-living adjustment increase of 4% for fiscal year 2023/2024. Effective the first full pay-period in July 2024, pay ranges for Regular full-time employees shall receive a cost-of-living adjustment increase of 3% for fiscal year 2024/2025. Effective the first full pay-period in July 2025, pay ranges for Regular full-time employees shall receive a cost-of-living adjustment increase of 2% for fiscal year 2025/2026.

A one-time, non-precedent setting COVID/inflation payment of \$3,000 may be paid to employees who were at the District on December 31, 2022. This payment shall not be considered compensation earnable and subject to CalPERS withholdings. The COVID/inflation payment will be paid in the current fiscal year.

## **Chapter IX. RETIREMENT**

The District contracts with CalPERS to provide retirement benefits for its employees. Pursuant to prior agreements and state mandated reform, the District has implemented first, second and third tier retirement benefits:

- A. Tier 1: For employees hired by the District prior to July 13, 2012 the retirement formula shall be the 2.0% @ 55.
- B. Tier 2: For employees first hired by the District after July 13, 2012 and are considered "Classic Members" as defined by CalPERS the retirement formula shall be 2% @ 60.
- C. Tier 3: For employees first hired by the District on or after January 1, 2013 and are considered "New Members" within the meaning of the California Public Employees' Pension Reform Act of 2013 the retirement formula shall be 2% @ 62. Employees will pay the full employee contribution, which will be one-half the normal rate as determined by CalPERS and there shall be no employer payment of any of the required employee contribution.

Effective July 11, 2014 all employees in the Tier 1 and Tier 2 plans shall pay the full seven (7%) of the required employee contribution to CalPERS. This shall be paid on a pretax basis.

**Chapter X. ADDITIONAL PROVISIONS MODIFYING THE DISTRICT'S  
PERSONNEL AND SALARY RESOLUTION**

**ARTICLE III — PAY PRACTICES**

**Section 1. Bilingual Pay**

Qualified employees who meet the following criteria shall receive an additional \$40.00 per pay period or fifty (50) cents per hour for 80 hours worked

- A. An employee must be assigned by the District Manager to speak or translate a language in addition to English. This may include such specialized communication skills as sign language.
- B. Employees must regularly and frequently speak and/or translate a second language, i.e., once daily.
- C. To become qualified, employees must be certified as qualified by the District Manager and will be subject to a language test that demonstrates an employee's ability to perform "conversational or higher levels of translation.
- D. Bilingual pay shall not apply to workers' compensation supplement pay.

**SECTION 2. Tool Pay**

Employees who voluntarily utilize their own mechanical tools for District related purposes to accomplish their employee duties and responsibilities, as they relate to the District and their designated positions, are eligible to receive seventy (70) dollars per month. A determination regarding eligibility shall be made by the District Manager.

**ARTICLE V — LEAVE PROVISIONS**

**Section 1. Bereavement Leave**

Upon request, regular permanent/probationary, or limited-term employees shall receive necessary time off with pay, not to exceed five (5) days in any-one (1) instance, to arrange for or attend a funeral of a member of their immediate family. For purposes of this Section, immediate family shall mean father, father-in-law, mother, mother-in-law, step-parent, brother, sister, wife, husband, child, step-child, grandparent, grandchild, daughter-in-law, son-in-law, registered domestic partner, or legal guardian.

**Section 2. Jury Duty**

A regular permanent/probationary, or limited-term employee who is called for jury duty or for examination for jury duty shall be compensated at the employee's regular rate of pay for those hours of absence due to the jury duty which occur during the employee's regularly scheduled working hours for the duration of the jury service as required, provided the employee waives the payment or deposits the employee's fees for such hours of jury duty, exclusive of mileage, with the

District Administrative Services Department. An employee may request a change in regularly scheduled working hours to a Monday through Friday day shift for the duration of such jury duty. Such requests shall be granted if practicable.

**ARTICLE VI — VACATION**

New employees in permanent/probationary positions, including administrative management and confidential employees shall accrue vacation in accordance with the following schedule:

<u>Years of Full-Time Continuous Service</u>	<u>Vacation Hours</u>	<u>Accrual per Pay Period</u>
0-3 (0-36 months)	80	3.08 hours
4-7 (37-84 months)	120	4.62 hours
7+ (85+ months)	160	6.15 hours

**ARTICLE VII — HOLIDAYS**

District employees shall observe the following holidays:

- New Year's Day
- Martin Luther King, Jr.'s Birthday
- Washington's Birthday (Presidents' Day)
- Memorial Day
- Juneteenth (June 19)
- Independence Day
- Labor Day
- Veteran's Day (November 11)
- Thanksgiving Day
- Day After Thanksgiving
- Christmas Eve
- Christmas Day

Two (2) Floating Holidays (9 hours each). Floating Holidays shall be credited to each employee's bank on January 1<sup>st</sup> of each year. All Floating Holiday hours must be used by December 31<sup>st</sup> of the year in which the hours were issued with the exception of 2019.

- B. When a holiday falls on a Sunday, the next regular working day shall be observed as the holiday.
- C. When Christmas Day or New Year's Day falls on a Saturday, the Friday immediately preceding each day shall be observed as the holiday.
- D. When any other holiday falls on a Saturday, eight (8) hours of compensatory time shall be credited to each regular permanent/probationary, limited-term employee's account, with a prorated amount credited for a part-time employee.

**ARTICLE XVI — INSURANCE:**

**Section 1.A**

For the contract period, medical allowances shall be determined as follows:

In August of each year, enrollment in each medical plan will be determined. Those medical plans with five (5) or more District employees enrolled will be used to benchmark premiums. If said plans premiums increase \$50 or more, the District will increase the monthly medical allowance by \$50. If the plans premiums decrease \$50 or more, the District will decrease the monthly medical allowance by \$50 not to go below 2023 Allowances listed below.

2023 Allowances

	Employee	Employee + 1	Employee + Family
Supervisory Employees	\$1100	\$1550	\$1820
All other permanent/probationary/limited - term employees	\$1070	\$1520	\$1790

Those employees that opt-out of a District medical plan shall receive a cash-in-lieu amount of \$920 per month. This amount can be used to pay for optional benefits (Dental, Vision, etc.) and/or receive as income (subject to taxation as wages). This amount shall remain for the contract period.

**Section 1.B**

Active employees hired on or after July 1, 2009, shall receive a retiree health monthly supplemental stipend in the amount of \$200 for having worked 10 or more continuous years.

Active employees who retire with less than 10 years of services shall only receive the CalPERS mandated retiree health allowance for the corresponding year under the statutory minimum contribution method as described in Section 1.A. above.

**ARTICLE XVII — DEFERRED COMPENSATION shall be amended to read as follows:**

The District will match employee by-weekly contributions to a District Authorized Internal Revenue Code Section 457 (b) Deferred Compensation Plan according to the following schedule:

Fiscal Year 2023-24 - \$170.00/PP effective first full pay period in July 2023. Fiscal Year 2024-25 - \$175.00/PP effective first full pay period in July 2024. Fiscal Year 2025-26 - \$180.00/PP effective first full pay period in July 2025.

Employees may elect to cancel participation in the program by signing a form provided by the District’s Human Resources Department.

IN WITNESS WHEREOF, the parties hereto executed this Memorandum of Understanding on February 16, 2023.

On behalf of the Orange County Mosquito and Vector Control District Employees Association:

John Drake \_\_\_\_\_ Date \_\_\_\_\_  
OCMVCDEA President

Heather Hyland \_\_\_\_\_ Date \_\_\_\_\_  
OCMVCDEA Vice President

On behalf of the Orange County Mosquito and Vector Control District, as authorized by Orange County Mosquito and Vector Control District Board of Trustees Resolution No. 554:

Lora B. Young \_\_\_\_\_ Date \_\_\_\_\_  
District Manager

**RESOLUTION NO. 554**

**A RESOLUTION BY THE BOARD OF TRUSTEES OF THE ORANGE COUNTY MOSQUITO  
AND VECTOR CONTROL DISTRICT**

**AMENDING PERSONNEL AND SALARY RESOLUTION NO. 482 TO MODIFY EMPLOYEE  
BENEFITS FOR A THREE YEAR PERIOD FROM 2023-2026**

WHEREAS, the Board of Trustees has adopted Personnel and Salary Resolution No. 482 which sets forth various personnel rules and compensation and benefit provisions for employees; and

WHEREAS, the Orange County Mosquito and Vector Control District (District) negotiating team has negotiated in good faith with the Orange County Mosquito and Vector Control District Employee Association (Association) in matters of hours, wages, benefits, working conditions, and personnel policies; and

WHEREAS, the current MOU expires on June 30, 2023; and

WHEREAS, the District has reached a tentative agreement and Successor Memorandum of Understanding (MOU) with the Association; and

WHEREAS, Association membership has ratified by a majority vote of its members the Successor MOU; and

WHEREAS, the successor MOU will become effective on July 1, 2023 and end on June 30, 2026, and

WHEREAS, the Board of Trustees does hereby determine to amend certain language and benefits provided to employees included in the Tentative Agreement.

NOW, THEREFORE, the Board of Trustees does hereby RESOLVE and DETERMINE that Resolution No. 482 is hereby amended as follows:

Section 1. That the Board of Trustees approves and directs the District Manager to fully implement the terms of the Successor MOU that is attached and made a part of this Resolution.

PASSED, APPROVED, and ADOPTED by the Board of Trustees of the Orange County Mosquito and Vector Control District at its regular meeting thereof held on the 16<sup>th</sup> day of February 2023, at 13001 Garden Grove Blvd., Garden Grove, California, 92843.

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Peggy Huang, President

I hereby certify that the foregoing Resolution was duly adopted by the Board of Trustees of the Orange County Mosquito and Vector Control District at a regularly scheduled meeting, held on February 16, 2023:

APPROVED AS TO FORM:

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Cecilia Hupp, Secretary

---

Alan R. Burns, District Counsel



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

February 16, 2023

## AGENDA REPORT

### AGENDA ITEM G.4

**Prepared By:** Tawnia Pett, Executive Assistant/Clerk of the Board  
**Submitted By:** Lora Young, District Manager

#### Agenda Title:

Trustee Terms of Office to Expire on January 2, 2023 at 11:59 A.M.

#### Recommended Action:

Receive and file.

#### Executive Summary:

The following Trustees' terms of office will expire on January 2, 2023 at 11:59 A.M.

**Bolded City/County has appointed a representative:**

Trustee Representative	Governing Body	Date of Communication
Richard Hurt	Aliso Viejo	December 14, 2022, 2 Years
Joyce Ahn	Buena Park	January 10, 2023, 2 Years
Bill Turpit	Costa Mesa	November 15, 2022, 2 Years
Bonnie Peat	Cypress	January 9, 2023, 1 Year
Mike Frost	Dana Point	January 17, 2023, 2 Years
James Gomez	La Habra	December 19, 2022, 4 Years
Alex Rounaghi	Laguna Beach	December 13, 2022, 2 Years
Rischi Paul Sharma	Laguna Niguel	December 20, 2022, 2 Years
Shari Horne	Laguna Woods	December 21, 2022, 2 Years
Craig Green	Placentia	January 10, 2023, 2 Years
April Josephson	Rancho Santa Margarita	December 14, 2022, 2 Years
John Taylor	San Juan Capistrano	January 17, 2023, 2 Years
Sandra Massa-Lavitt	Seal Beach	March 13, 2023
Gary Taylor	Stanton	January 10, 2023, 2 Years
Amy Phan West	Westminster	January 25, 2023, 2 Years
Peggy Huang	Yorba Linda	December 20, 2022, 2 Years
Elizabeth Guillen-Merchant	County of Orange	January 24, 2023, 2 Years

#### Strategic Plan Compliance:

Complies with PRIORITY AREA 1: Collaboration and Engagement with Partner Agencies, Stakeholders, and the Public, GOAL 1.2: Improve public awareness and information sharing.

**Fiscal Impact:**

**Amount Requested \$ N/A**

**Sufficient Budgeted Funds Available:**

**Category: Pers. Optg. Cap. -or- CIP# Fund#**

**Previous Relevant Board Actions for This Item:**

**Exhibits:**

**Fiscal Impact:**

**Amount Requested \$ N/A**

**Sufficient Budgeted Funds Available:**

**Category: Pers. Optg. Cap. -or- CIP# Fund#**

**Previous Relevant Board Actions for This Item:**

**Exhibits:**



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

February 16, 2023

## AGENDA REPORT

### AGENDA ITEM G.5

**Prepared By:** Tan Nguyen, Finance Manager  
**Submitted By:** Lora Young, District Manager

#### Agenda Title:

Receive and File Fiscal Year 2023-24 Budget Preparation Schedule

#### Recommended Action:

Receive and file.

#### Executive Summary:

The Fiscal Year 2023-24 Operating and Capital Improvement Program budget is currently in development. The budget schedule is as follows:

Thursday April 20, 2023, 3:00 PM

Board Meeting: Distribution of Proposed 2023-24-25 Budget

Wednesday May 3, 2023, 11:30 AM

OCMVCD Special Meeting: Budget Presentation

Thursday May 4, 2023, 11:30 AM

OCMVCD Special Meeting: Budget Presentation

Thursday May 18, 2023, 3:00 PM

Board Meeting: Adoption of 2023-24-25 Budget

#### Strategic Plan Compliance:

**PRIORITY AREA 11:** Fiscal Responsibility/Financial. GOAL 11.1: Conduct the Business and Operational Functions of the District in the most cost efficient and effective manner that prioritizes both fiscal and fiduciary responsibility to the residents of Orange County.

#### Fiscal Impact:

Amount Requested \$ N/A

Sufficient Budgeted Funds Available:

Category: Pers. Optg. Cap. -or- CIP# Fund#

#### Previous Relevant Board Actions for This Item:

#### Exhibits:



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

February 16, 2023

## AGENDA REPORT

### AGENDA ITEM: G.6

**Prepared By:** Tawnia Pett, Executive Assistant/Clerk of the Board  
**Submitted By:** Lora Young, District Manager

### Agenda Title:

Receive Statement of Economic Interests 700 Forms for Filing

### Recommended Action:

Receive and file.

### Executive Summary:

Each trustee is required to complete a Statement of Economic Interests Form 700 by April 1, 2023. (Please note there are penalties for late filing). The cover page of the form 700 must have an original signature in blue ink. Trustees may obtain the form and additional information online at <http://www.fppc.ca.gov/Form700.html> or file electronically as indicated below.

Electronic Filing: All trustees should have received information from the Clerk of the Board of Supervisors regarding filer instructions for electronically filing their Statement of Economic Interests Form 700, including log-ins, IDs, and passwords. The link to this site is <https://www.southtechhosting.com/OrangeCounty/eDisclosure/>

Please contact Tawnia Pett if you have not received this information. Electronic filing is not mandatory.

**Trustee Filing Disclosure is Category OC-1: All interests in real property in Orange County, as applicable, as well as investments, business positions, and sources of income (including gifts, loans, and travel payments) "Your Position" should be filed as "Board Trustee".**

### Fiscal Impact:

**Amount Requested \$ N/A**

**Sufficient Budgeted Funds Available:**

**Category: Pers. Optg. Cap. -or- CIP# Fund#**

### Previous Relevant Board Actions for This Item:

### Exhibits:



# ORANGE COUNTY MOSQUITO AND VECTOR CONTROL DISTRICT

February 16, 2023

## AGENDA REPORT

### AGENDA ITEM G.7

**Prepared By:** Lora Young, District Manager  
**Submitted By:** Lora Young, District Manager

#### Agenda Title:

Rescind Orange County Mosquito and Vector Control District State of Emergency Declaration

#### Recommended Action:

No action needed, informational item only. Receive and file.

#### Executive Summary:

In December 2019, COVID-19 was first identified and began impacting resident in the United States. The State of California in response to a growing concern for the spread of COVID-19 issued a proclamation of emergency on March 4, 2020. In order to limit the impact COVID-19 pandemic would have on District essential services, an emergency Executive Committee meeting was conducted via teleconference on March 16, 2020, to discuss the state of emergency and District services. The Executive Committee recognized that an emergency situation existed, and protocols needed to be put in place that that would modify or suspend non-essential services but maintain essential services to protect residents from vector-borne diseases.

On March 16, 2020, District Manager Rick Howard issued a letter declaring a local emergency due to the COVID-19 pandemic. The declaration of emergency allowed the District to continue providing essential services while modifying non-essential programs.

Both state and local agencies have moved to a long-term planning in responding to COVID-19 cases and outbreaks. In addition to integrating the recommended long term COVID-19 responses into the District's Injury Prevention Program, there is no longer an imminent emergency from COVID-19 that will impact District operations and services.

With The State of California Emergency declaration is set to expire on February 28, 2023, and based on current COVID-19 data, the District Manager has issued a letter rescinding the state of emergency for the Orange County Mosquito and Vector Control District.

#### Strategic Plan Compliance:

PRIORITY AREA 12: Mission Focused Decision Making, Review, and Analysis

#### Fiscal Impact:

**Amount Requested** N/A  
**Sufficient Budgeted Funds Available:** N/A  
**Category:** N/A

**Previous Relevant Board Actions for This Item:**

March 16, 2020 Emergency Executive Committee Meeting

**Exhibits:**

**Exhibit A:** Letter Rescinding Orange County Mosquito and Vector Control District State of Emergency Declaration

**BOARD OFFICERS FOR 2023**

**PRESIDENT**  
PEGGY HUANG  
**VICE-PRESIDENT**  
CRAIG GREEN  
**SECRETARY**  
CECILIA HUPP

**DISTRICT MANAGER**  
LORA B. YOUNG  
**CLERK OF THE BOARD**  
TAWNIA E. PETT



13001 GARDEN GROVE BOULEVARD  
GARDEN GROVE, CA 92843-2102  
PHONES: (714) 971-2421  
(949) 654-2421  
FAX: (714) 971-3940  
[ocvcd@ocvector.org](mailto:ocvcd@ocvector.org)  
[ocvector.org](http://ocvector.org)  
[facebook.com/ocvectorcontrol](https://facebook.com/ocvectorcontrol)  
[twitter.com/ocvector](https://twitter.com/ocvector)

**BOARD OF TRUSTEES - 2023**

**ALISO VIEJO**  
RICHARD HURT  
**ANAHEIM**  
LUCILLE KRING  
**BREA**  
CECILIA HUPP  
**BUENA PARK**  
JOYCE AHN  
**COSTA MESA**  
WILLIAM TURPIT  
**CYPRESS**  
BONNIE PEAT  
**DANA POINT**  
MIKE FROST  
**FOUNTAIN VALLEY**  
KIM CONSTANTINE  
**FULLERTON**  
FRED JUNG  
**GARDEN GROVE**  
STEPHANIE KLOPFENSTEIN  
**HUNTINGTON BEACH**  
MIKE POSEY  
**IRVINE**  
TAMMY KIM  
**LA HABRA**  
JAMES GOMEZ  
**LA PALMA**  
DEBBIE S. BAKER  
**LAGUNA BEACH**  
ALEX ROUNAGHI  
**LAGUNA HILLS**  
ERICA PEZOLD  
**LAGUNA NIGUEL**  
RISCHI PAUL SHARMA  
**LAGUNA WOODS**  
SHARI L. HORNE  
**LAKE FOREST**  
VLADIMIR ANDERSON  
**LOS ALAMITOS**  
TANYA DOBY  
**MISSION VIEJO**  
ROBERT RUESCH  
**NEWPORT BEACH**  
JOY BRENNER  
**ORANGE**  
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**PLACENTIA**  
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**RANCHO SANTA MARGARITA**  
APRIL JOSEPHSON  
**SAN CLEMENTE**  
STEVE KNOBLOCK  
**SAN JUAN CAPISTRANO**  
JOHN TAYLOR  
**SANTA ANA**  
NELIDA MENDOZA  
**SEAL BEACH**  
SANDRA MASSA-LAVITT  
**STANTON**  
GARY TAYLOR  
**TUSTIN**  
REBECCA GOMEZ  
**VILLA PARK**  
CRYSTAL MILES  
**WESTMINSTER**  
AMY PHAN WEST  
**YORBA LINDA**  
PEGGY HUANG  
**COUNTY OF ORANGE**  
ELIZABETH  
GUILLEN-MERCHANT

February 16, 2023

On March 16, 2020 in order to limit the impact of the COVID-19 pandemic on District essential services, an emergency Executive Committee meeting was conducted via teleconference to discuss the state of emergency and District services. Following the Emergency Executive Committee meeting, District Manager Richard Howard issued a letter declaring a local emergency due to the COVID-19 pandemic. The declaration of emergency allowed the District to continue providing essential services while modifying non-essential programs.

As of February 6th, 2023, there is no longer an immediate emergency from the COVID-19 pandemic and all essential and non-essential District programs and activities are being provided. Based on current COVID-19 data, a local emergency declaration is no longer warranted.

Effective February 16, 2023, this letter is to rescind the local emergency declaration that was issued on March 16, 2020.

Signed this 16th Day of February.

Lora B. Young, District Manager  
Orange County Mosquito and Vector Control District

*"An Independent Special District Serving Orange County Since 1947"*

The mission of the Orange County Mosquito and Vector Control District is to educate and protect Orange County from vectors and prevent vector-borne diseases in an environmentally responsible manner.

## *Vector of the Month*

### **Red Imported Fire Ants (RIFA)**

Red Imported Fire Ants (RIFA), *Solenopsis invicta*, are very aggressive, invasive ants. They are called “imported” because they are not native to this country. It is believed that they came to the United States from South America aboard cargo ships that docked in Mobile, Alabama, during the 1930s. RIFA vigorously defend their nests, attack in large numbers, and sting repeatedly. They also attack agricultural workers, compete with beneficial insects, and devour important crops.

Red imported fire ants attack by biting their victims with powerful jaws while stinging repeatedly, injecting venom that causes pustules and itching for up to two weeks. RIFA stings are serious and can be fatal for the very young, the elderly, and anyone allergic to the venom. RIFA are attracted to pet food left outdoors and will sting pets when disturbed. These attacks can be serious for pets since the first part of the animal to get stung is usually the sensitive muzzle. If there are enough stings in the right place, the swelling caused by the venom can obstruct breathing. The toll taken on wildlife can also be considerable. The numbers and variety of reptiles and amphibians remaining in areas infested by RIFA is a fraction of what was there before. Any ground or low nesting birds will be impacted as well. The need for moisture will limit land infestation of RIFA to wetlands. One half of threatened animal species are somewhat dependent on wetlands.

In southern California, RIFA colonies rarely build the large mounds typical of RIFA in other parts of the country. Almost all mounds in Orange County are found in irrigated areas, most often on turf which gets



mowed every week. Look for patches of fine granular soil where it doesn't belong, for example, in the middle of a lawn. It is possible that if a colony has settled into an irrigated planter or other landscaped area, a mound will develop, usually at the base of a shrub. Another common location is adjacent to a hardscape feature like a large rock or a concrete walkway. These large, dense objects are attractive to RIFA because they store heat during the day and release it slowly during the night. The constant temperature provided by the release heat allows colonies to reproduce and gather food twenty-four hours a day.

Since RIFA represent such a serious threat, homeowners may be tempted to treat on their own. Unlike other vector issues, the Orange County Mosquito and Vector Control District (OCMVCD) discourages the public from trying to control RIFA on their property. RIFA are considered an exotic pest, and as such, must be tracked; every known site should be reported. The procedure for killing ant colonies is far different from killing individual ants. OCMVCD Inspectors typically use an insect growth regulator (IGR), a product that stops ant larvae from maturing into adults. This results in a decrease in replacement ants and as the workers die off, so does the colony. If you find a RIFA colony, please contact OCMVCD to report it so appropriate control measures can be implemented.

## Red Imported Fire Ant

UC Riverside Center for Invasive Species Research  
Text provided by: Les Greenberg and John Klotz . Updated: 2022

### The Red Imported Fire Ant, *Solenopsis invicta*



Photos provided by: Alex Wild, [www.alexanderwild.com](http://www.alexanderwild.com) and Les Greenberg

**The Situation:** The Red Imported Fire Ant (RIFA, for short) is a major economic pest in the southeastern United States. It originates in lowland areas of South America, primarily Brazil and Argentina. Since its first documented interception in 1984 at a border station in California, periodic outbreaks have occurred in several counties. In the past, these outbreaks were limited to private properties amenable to rapid eradication efforts. A state-wide eradication effort began in 1999 and ended in 2003. Some localized eradication efforts are still continuing by Vector Control Districts (in the Coachella Valley and Orange County) and Agricultural Commissioner's offices (Los Angeles and San Diego counties) which have continued treatments aimed at controlling the ants. Eradication efforts by the California Department of Food and Agriculture also continue in the Central Valley.



**Damage:** These ants pose an immediate threat to the California's economy because they require a quarantine of nursery products because ants maybe accidentally shipped in potting soil to new areas. In quarantined areas, potted plants cannot be shipped without labor-intensive and expensive drenching of all plants with pesticides. The stinging behavior of Red Imported Fire Ant can be hazardous to field workers as the sting is noxious and

produces a pustule on the skin that can scar if infected. Newborn livestock and poultry are vulnerable to attack by ants. Foraging ants can clog irrigation lines and short-circuit electrical systems. In natural ecosystems they may interfere with and displace native wildlife, young birds in nests and lizards can be especially vulnerable.

**Economic Impact:** The farm gate values for ornamental production nurseries in Orange, Los Angeles and San Diego counties in 2002 was \$1.3 billion, and ~40% of the crop was produced in these three counties, making it one of the major crops in these areas. The value of California's entire nursery industry in 2002 was estimated at \$2.6 billion. This industry faces the immediate impact of quarantine regulations that require pesticide drenching before shipments can commence. There are immediate expenses involving the application of pesticides to all plants in quarantined areas. In Texas, over \$1.1 billion is spent annually on pesticides for fire ant control, and \$872 million of that is for control of ants infesting lawns in urban areas.



**Distribution:** In 1997 fire ants arrived with honeybees from Texas and infested almond orchards in Kern and Fresno counties. In 1998 Red Imported Fire Ant were detected in an area covering at least 50 square miles of Orange County, leading to a quarantine of the entire county. Other outbreaks have subsequently been discovered in western Riverside county (especially the Coachella Valley), and the counties of Los Angeles, San Diego, Santa Barbara, and Sacramento. Additional infested almond orchards have been found in Madera, Merced, and Stanislaus counties. Some of these infestations may have been present for 10 years before they were officially detected. RIFA have not been found in non-irrigated regions except in proximity to lakes (Lake Elsinore), and in natural wetlands and creeks in southern Orange Co. The most recent

spreads (2008-2009) are into San Bernardino County from Los Angeles County, especially into Chino Hills and Ontario.

**Research:** Cooperative Extension at the University of California, Riverside, is educating homeowners, pest control operators, growers, and other affected parties, about the biology and control of fire ants. Research has involved testing the efficacy of new fire ant insecticides for the California market, studying the ant's flight behavior, and looking at competition with native ant species. We are pursuing the introduction of biocontrol agents for fire ants.



“This agenda shall be made available upon request in alternative formats to persons with a disability, as required by the American with Disabilities Act of 1990 (42 U.S.C. § 12132) and the Ralph M. Brown Act (California Government Code § 54954.2). Persons requesting a disability related modification or accommodation in order to participate in the meeting should contact the Orange County Mosquito and Vector Control District at (714-971-2421), during regular business hours, at least twenty-four hours prior to the time of the meeting.”

"Materials related to an item on the Agenda submitted after distribution of the agenda packet are available for public inspection in the District Office located at Orange County Mosquito and Vector Control District offices, 13001 Garden Grove Blvd., Garden Grove, CA 92843 during normal business hours."